

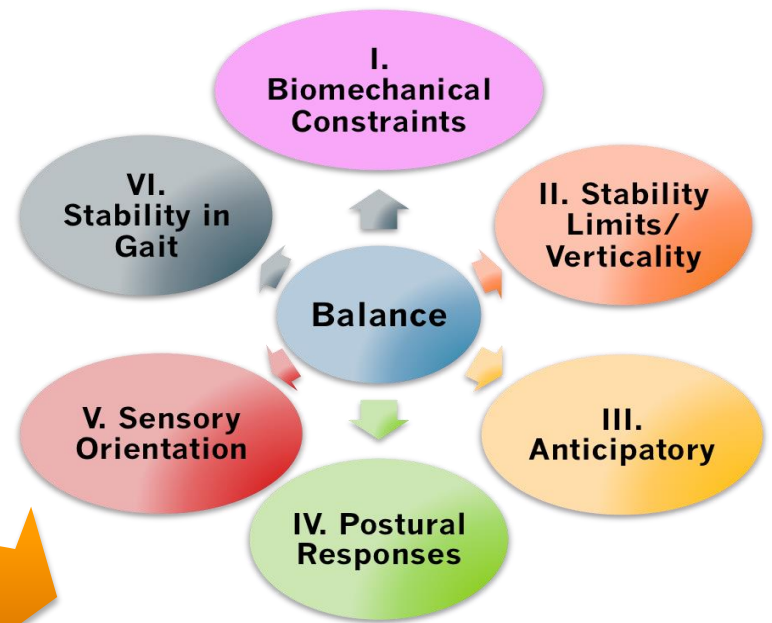


**Fay B. Horak PhD, PT**  
Professor of Neurology  
Portland VA and OHSU  
Balance Disorders Laboratories

# Objective Measures of Balance and Gait for Rehabilitation

# Objectives

- **Understand how system impairments underlie balance and gait dysfunction**
- **Discover technology that allows clinicians to measure objective balance and gait impairments**
- **Learn how continuous monitoring of mobility may reveal more impairments**



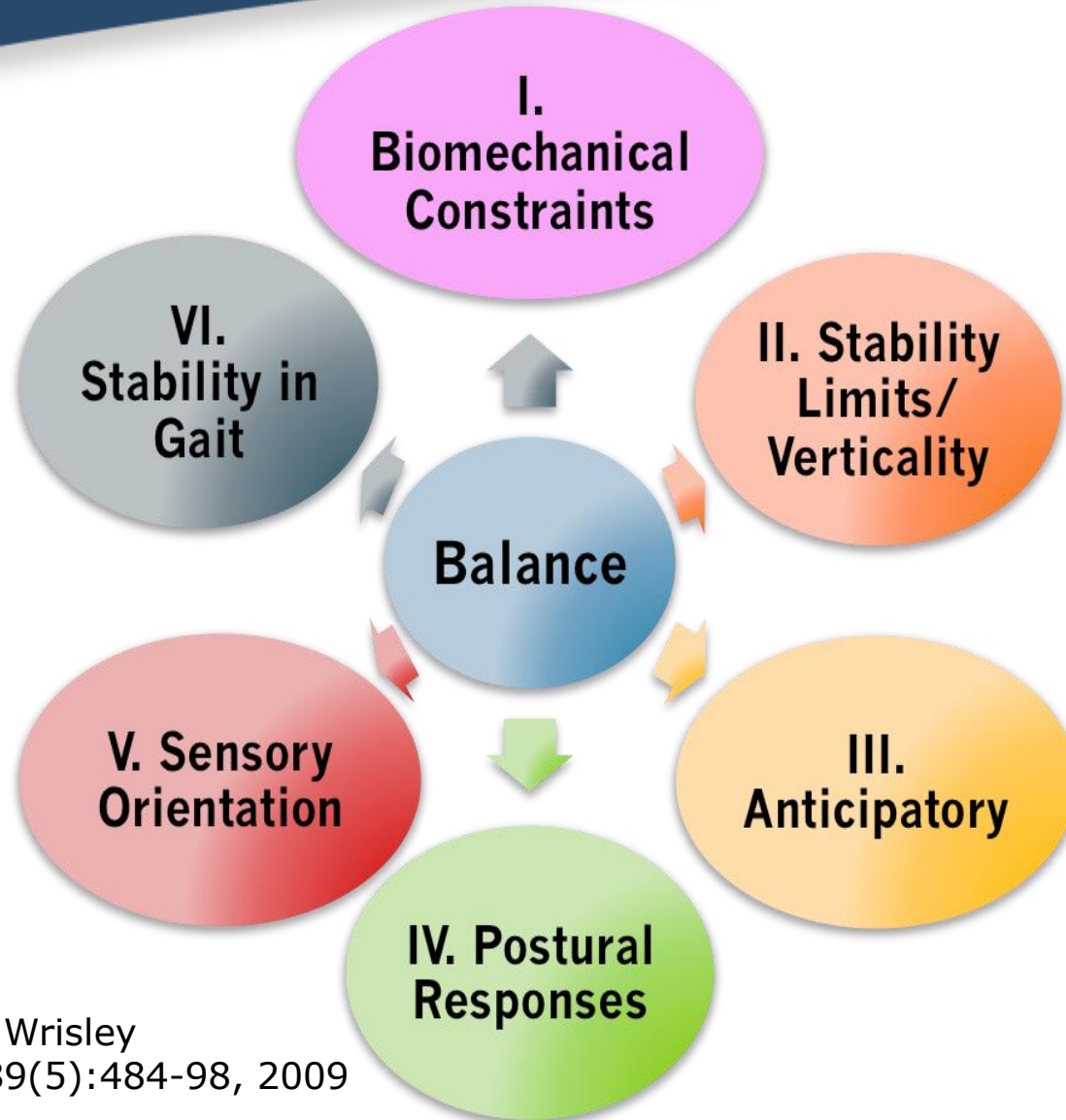
Body-Worn  
Sensors:  
***Mobility Lab***

Laboratory:  
***Balance  
Systems***



Clinic:  
***BESTest  
MiniBESTest***

# Systems Underlying Postural Control



BESTest  
Horak, Frank, and Wrisley  
Physical Therapy 89(5):484-98, 2009

# MiniBESTest for Dynamic Balance

Franchignoni, Godi, Nardone, Horak, J Rehab Med 2010

Scores:  
2 Normal  
1 Abnormal  
0 Absent

14 items  
42 pt MAX

## Anticipatory

Sit to  
Stand

Rise to  
Toes

One Leg

## Reactions

Step Fwd

Step Bkwd

Step  
Sideway

## Sensory

EO Stance

EC on  
Foam

EO on  
Incline

## Gait

Change  
Speed

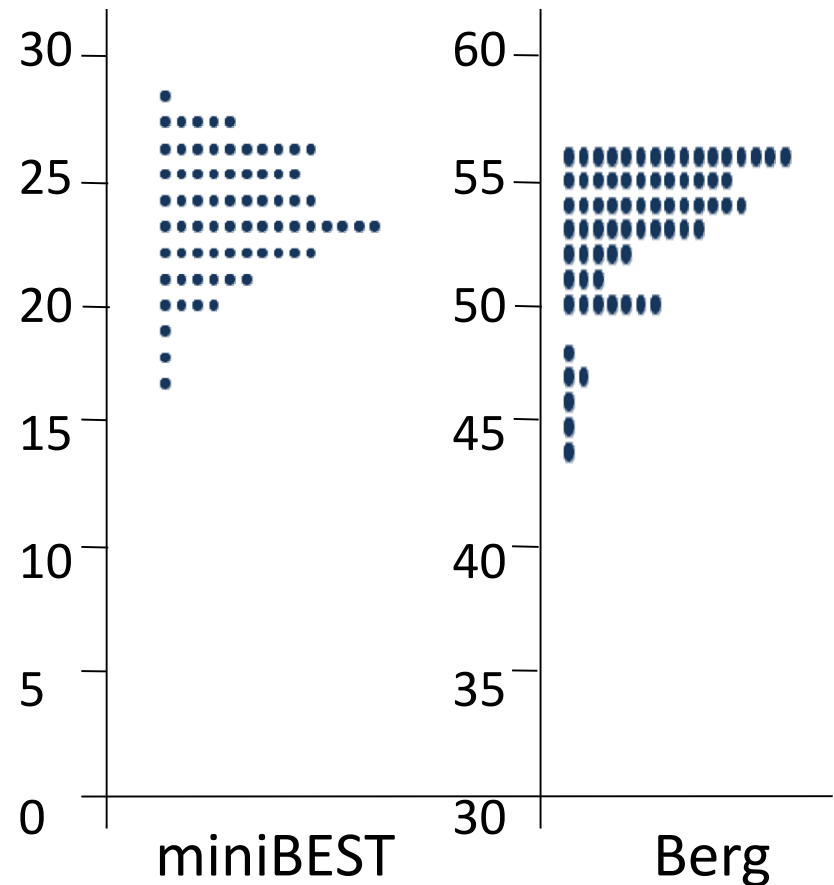
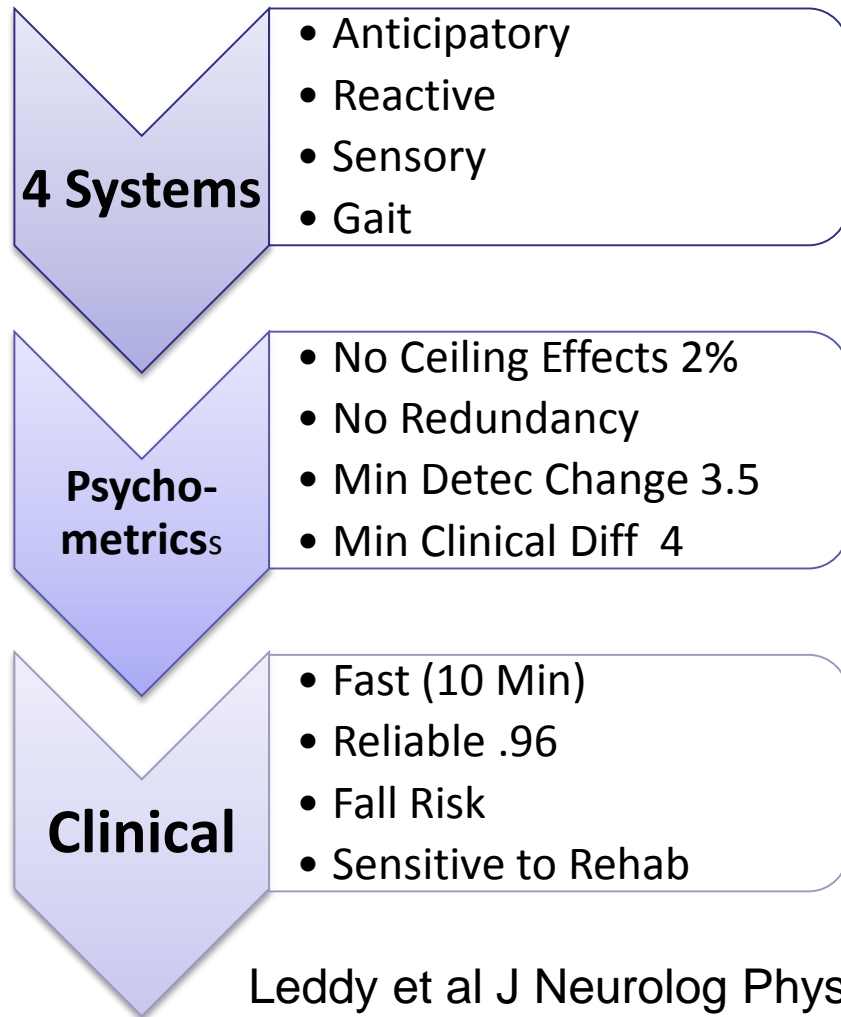
Head  
Turns

Pivot  
Turns

Obstacle

TUG with  
Cognitive

# MiniBESTest has great Clinimetrics



Leddy et al J Neurolog Physical Therapy, 2011

Godi et al Phys Therapy 2012

Ryan et al Parkinsons Disease 2012

Sachi et al Thys Therapy 2014

Franchignoni et al, 2015

**But NOT Objective!**

**In a Clinic**

OPALS by APDM  
APDM.com

**Long-Term Monitoring**



Synchronized,  
wireless sensors

OHSU and Dr. Horak have a significant financial interest in APDM, a company that may have a commercial interest in the results of this research and technology. This potential conflict of interest has been reviewed and managed by OHSU and the Integrity Program Oversight Council.

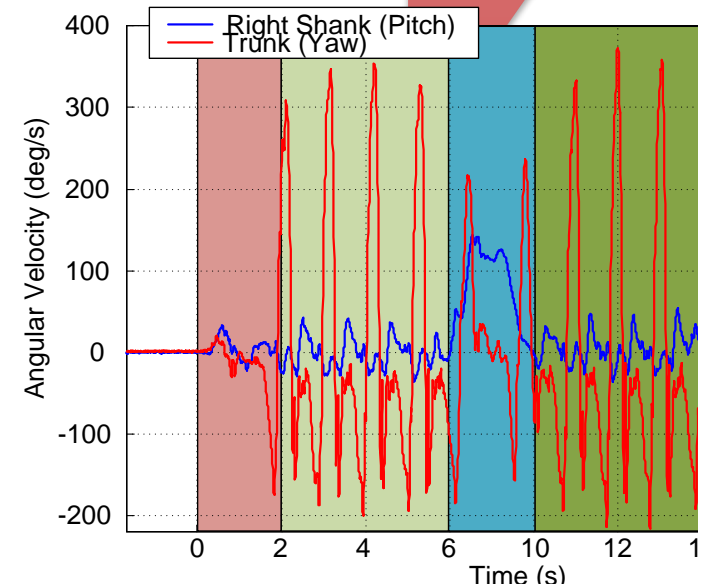
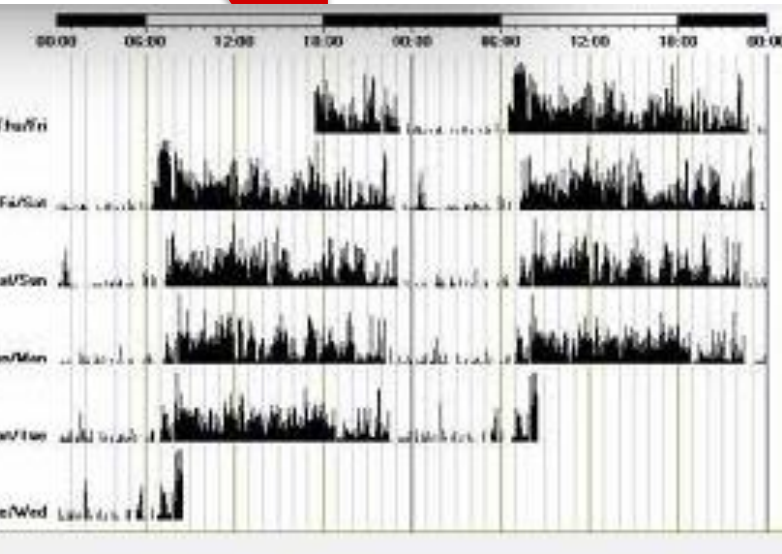
# Body-worn Inertial Sensors: Activity vs Movement Monitors

## Activity Monitors

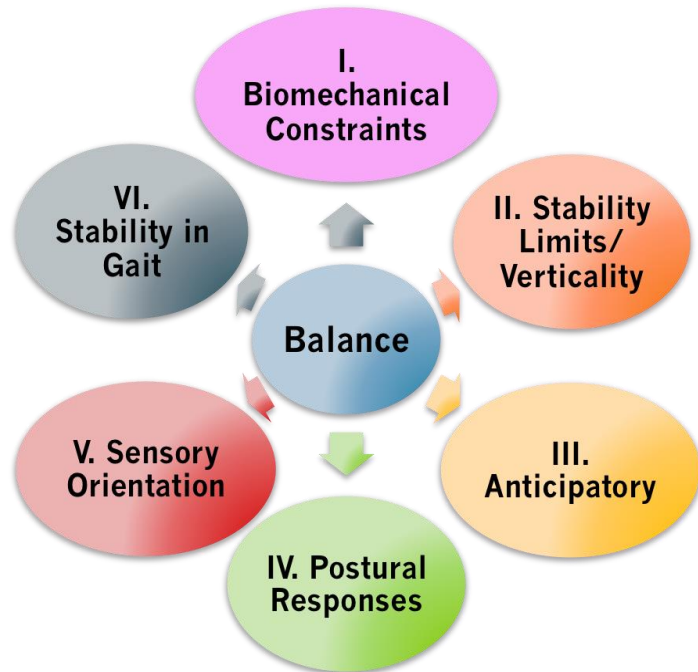
- Accelerometers
- Quantity of Movement - Sedentary or Active
- Pedometer
- Consumer devices

## Movement Monitors

- Accelerometers + Gyroscopes + Magnetometer
- Quality of Movement- kinematics
- Impairments
- Medical Devices



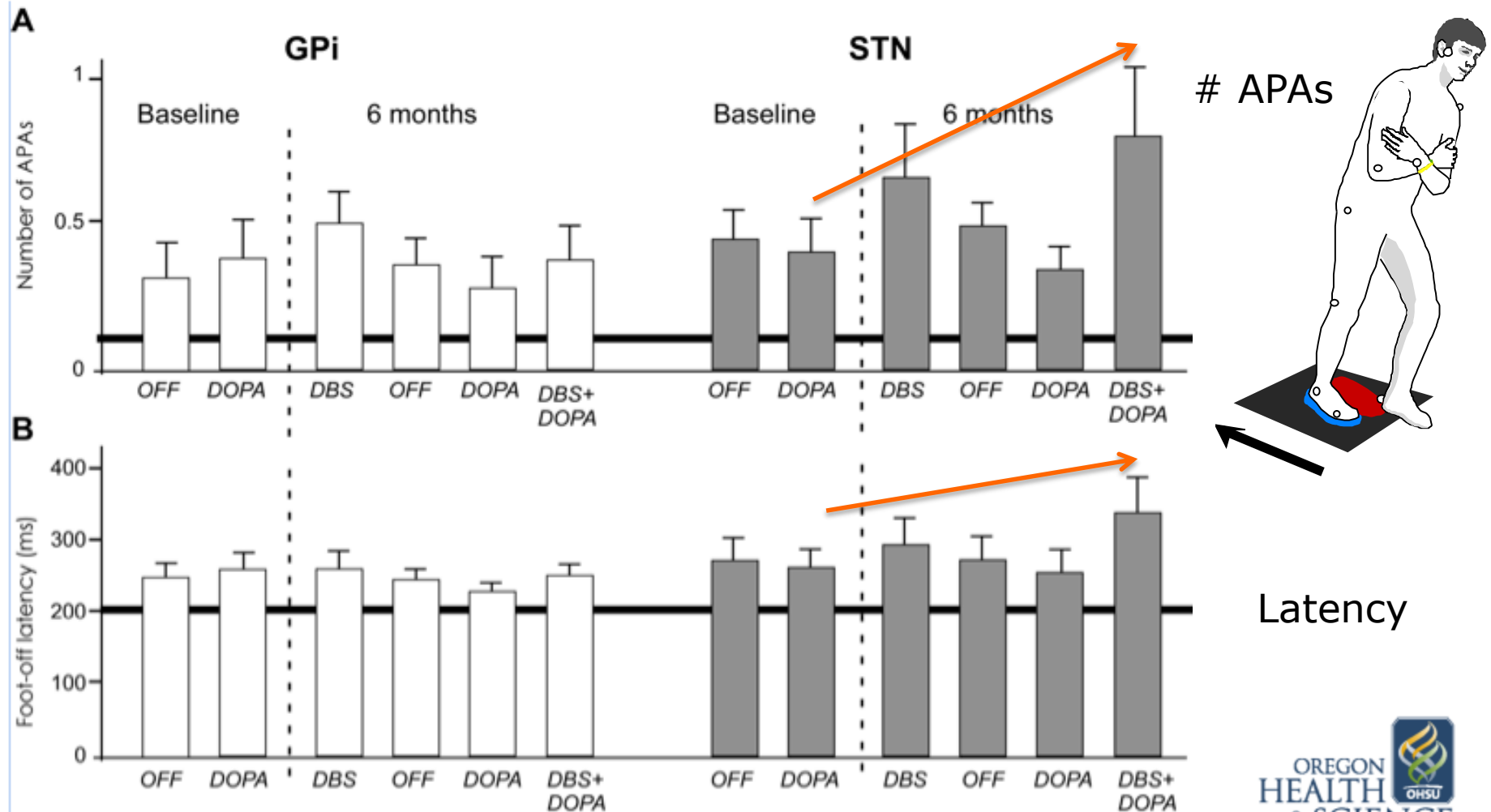




## IV. Postural Responses

# Compensatory Stepping

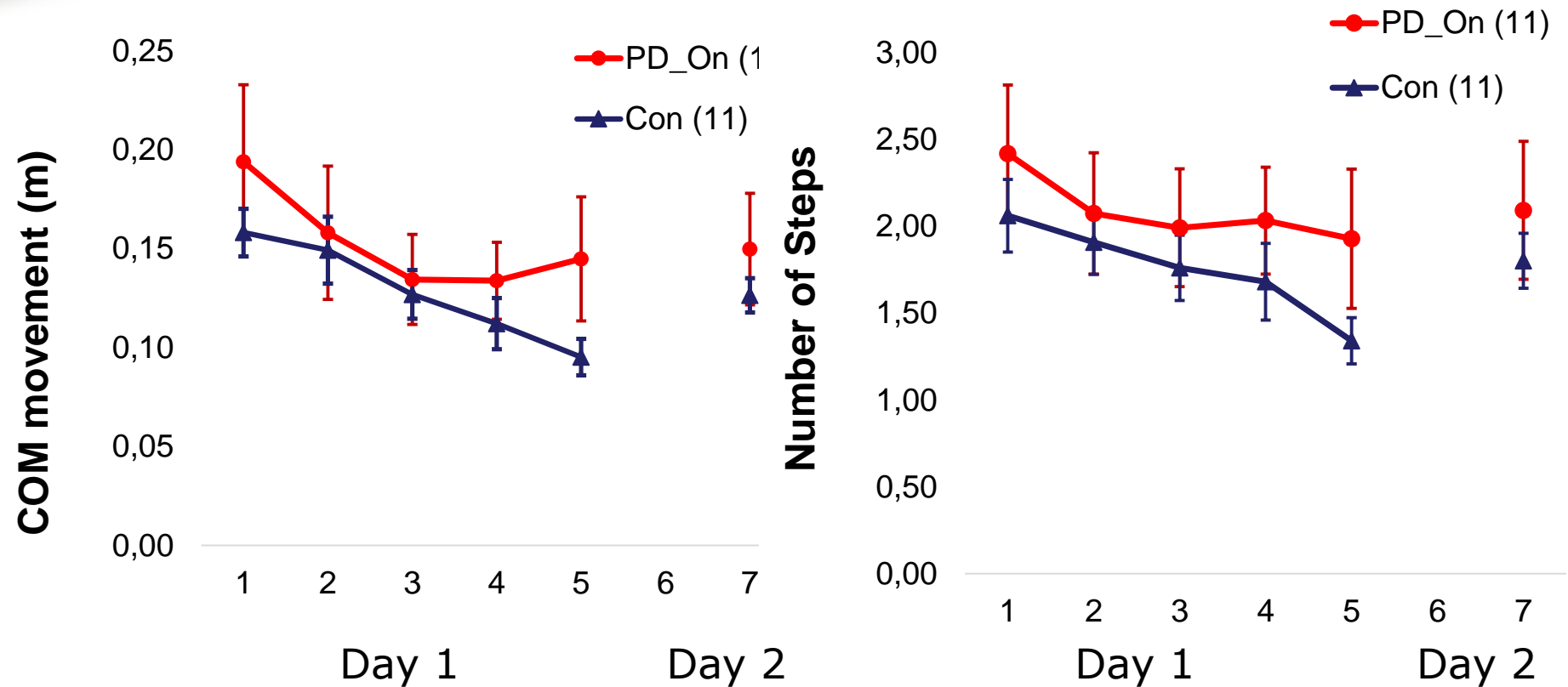
# DBS in STN impairs postural preparation but not compensatory step



# Improvement of stepping responses with practice in elderly control and PD subjects

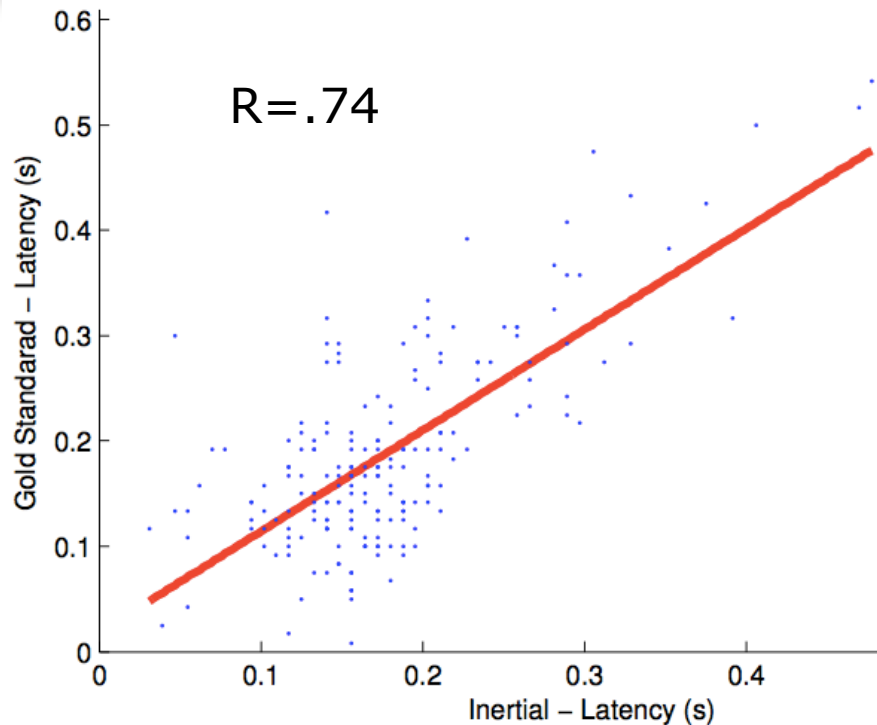
Peterson and Horak

In preparation



# Validating IPush with inertial sensors

El Gohary et al, in prep

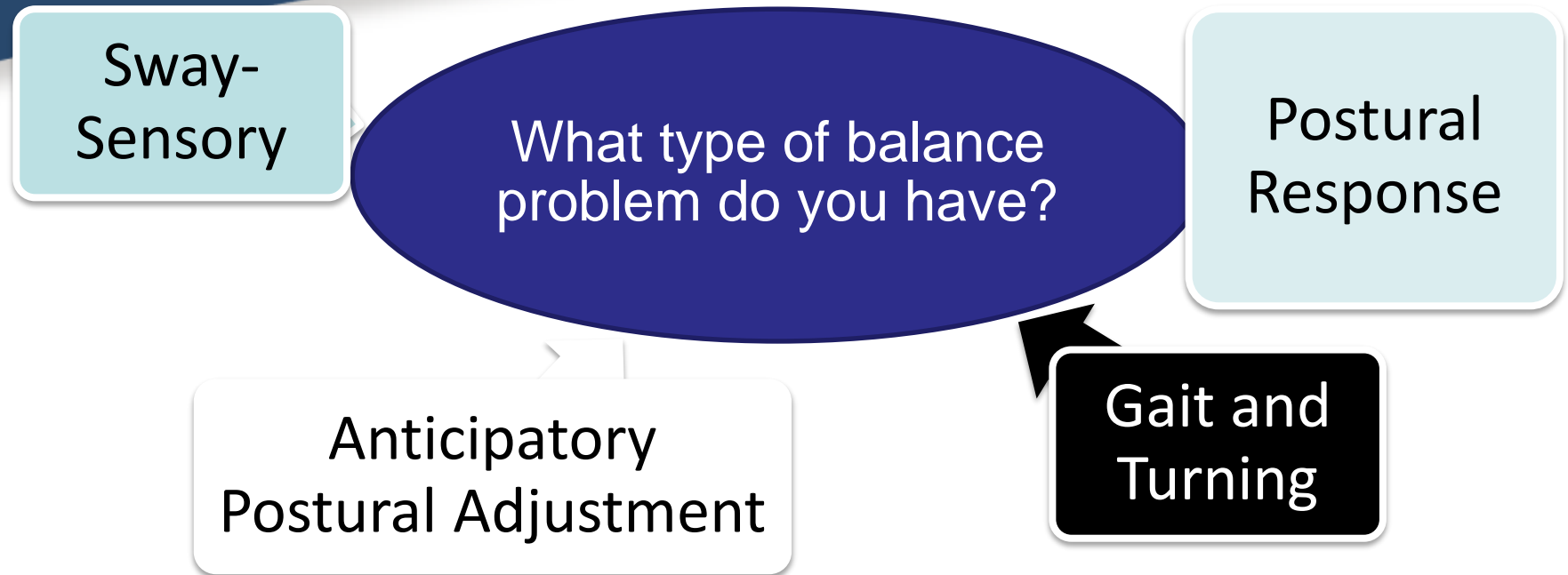


N= 50 MS, 50 Controls, 20 PD

Significant difference ( $p < .01$ ) in:

- Latency (ms):  
PD = 304, MS = 380, CT = 263
- Step Length (cm):  
PD = 32, MS = 48, CT = 45
- Stepping
  - ✓ PD = 3 to 4 small steps
  - ✓ MS = 2 larger steps
  - ✓ CT = one step

# Important to test several systems for postural control (quickly)



# Instrumented Stand and Walk test (ISAW)

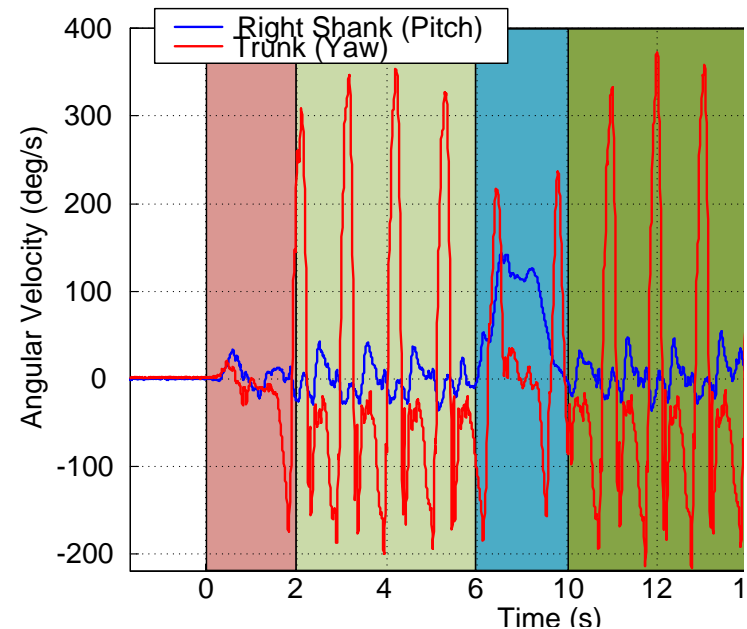
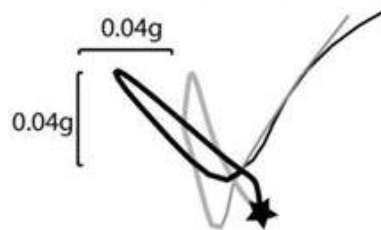
Stand

Step Initiation

Walk and turn

+

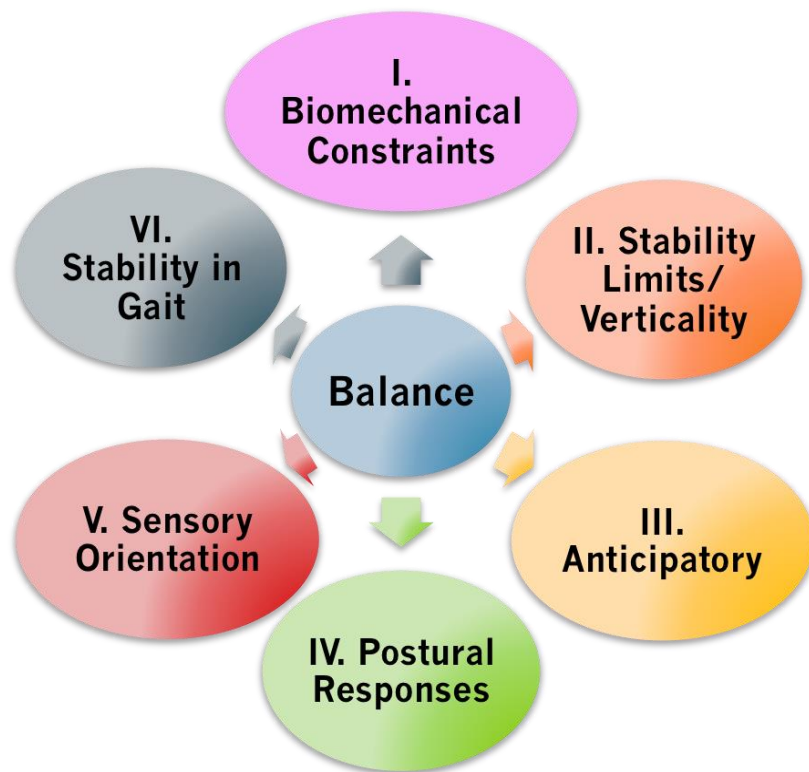
+



# **Instrumented Stand and Walk Test (ISAW)**

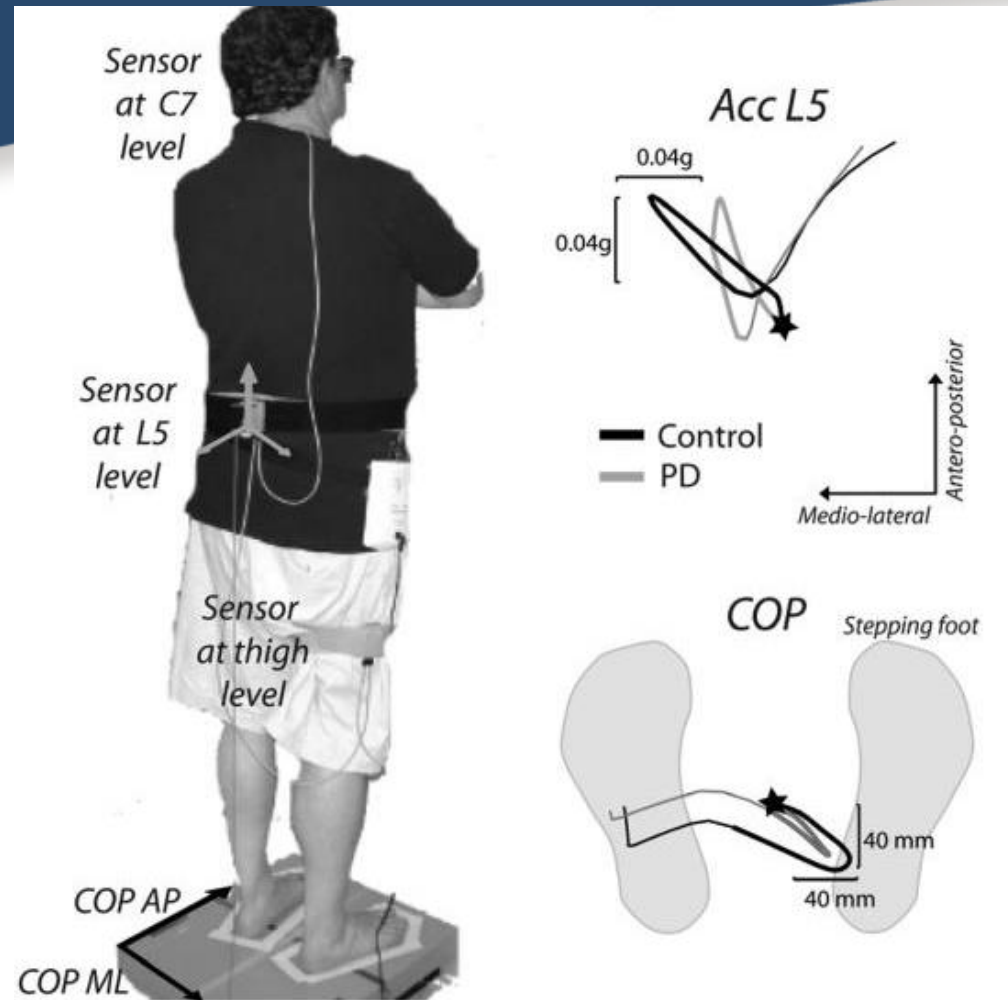




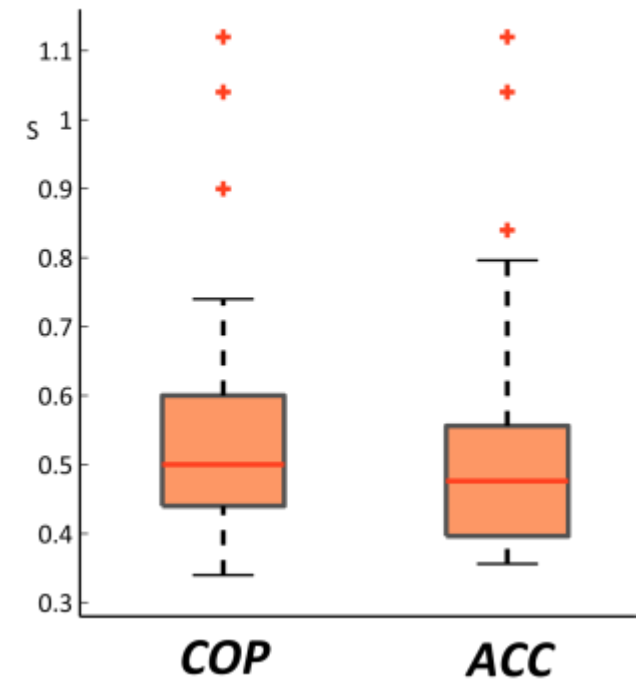


# III. Anticipatory

# APA with Inertial Sensors: Experimental Validity

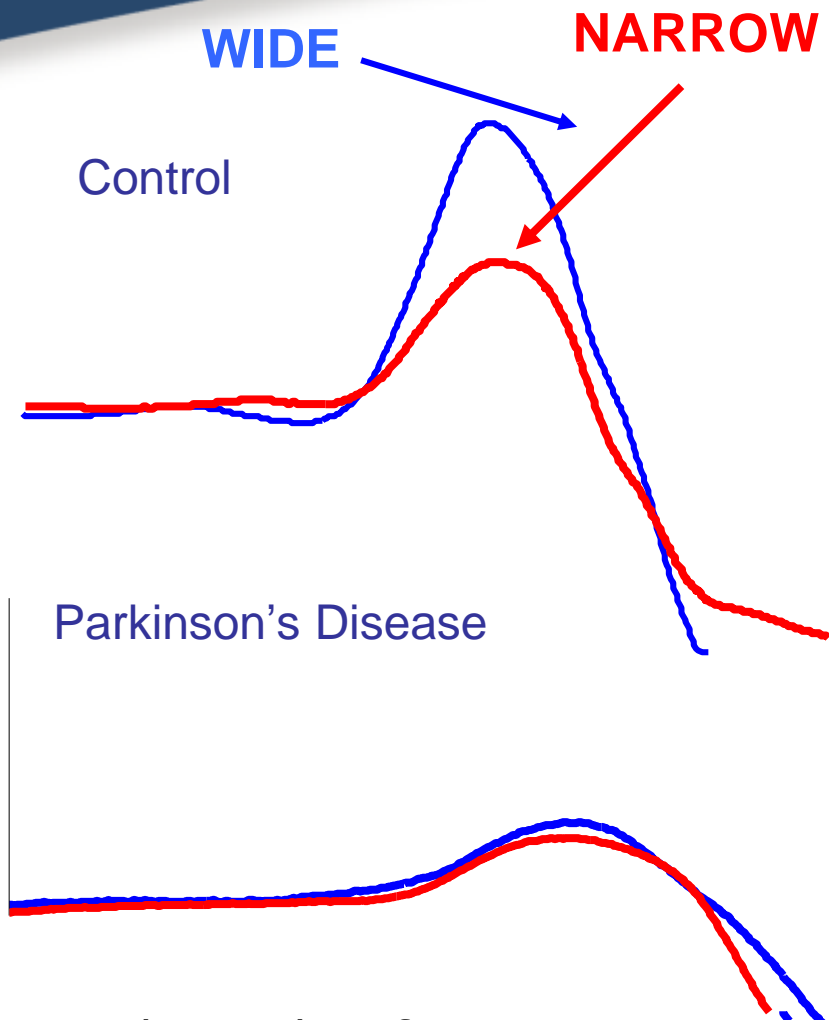


## APA duration



Mancini M., et al., 2009

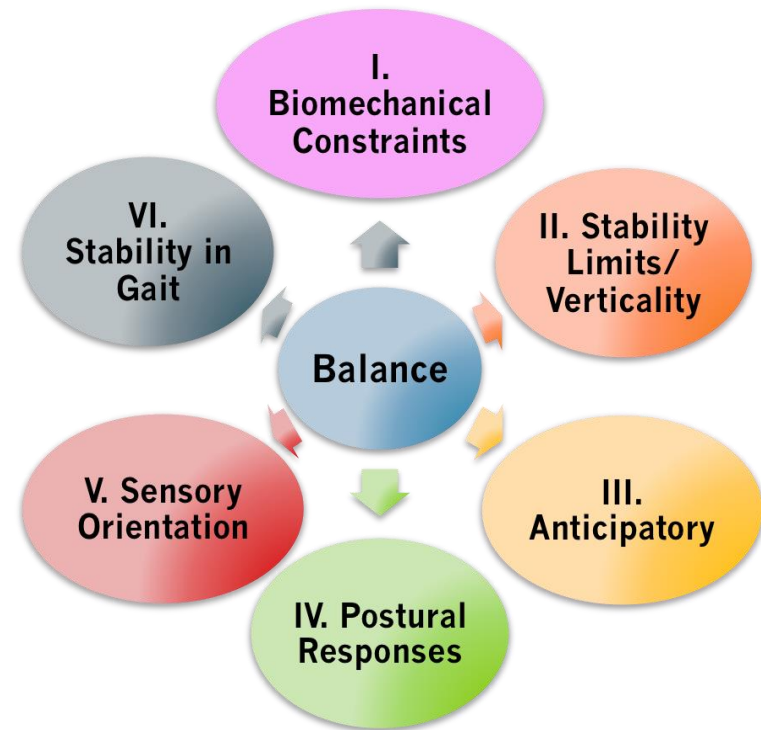
# APAs don't get larger with wide stance in patients with PD



This may be the reason why stance width decreases with progression of PD!

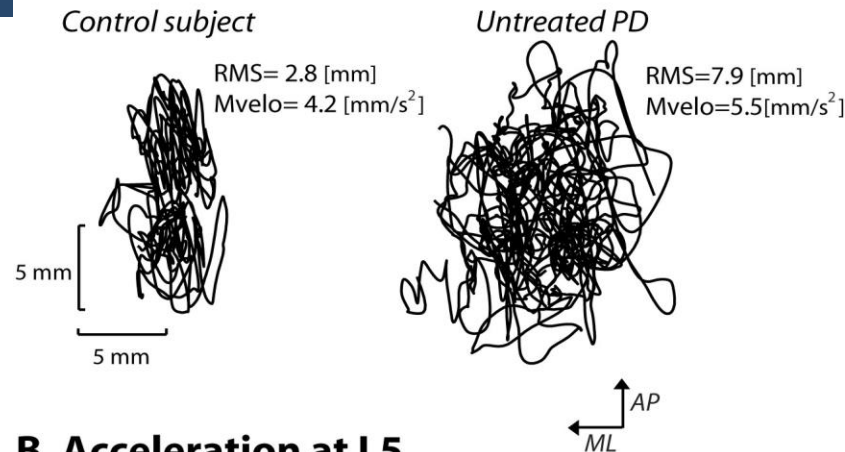
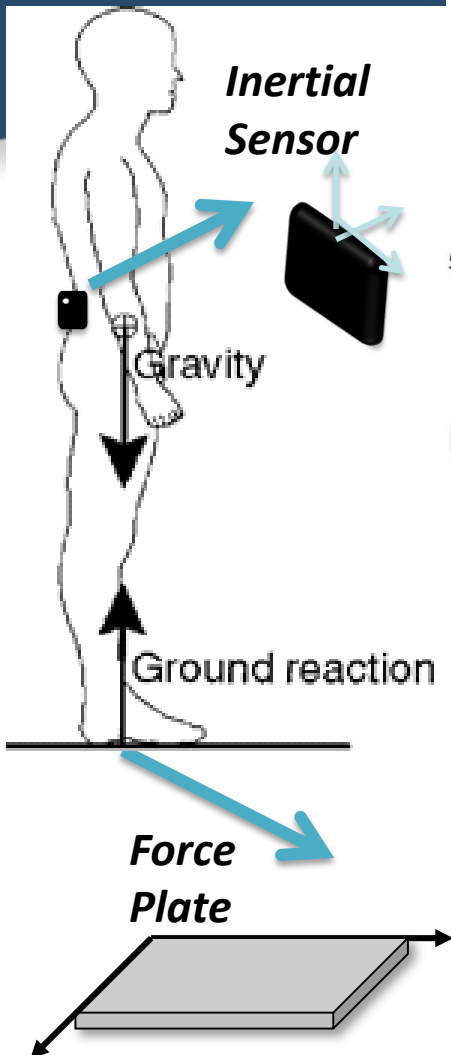
Rocchi et al J of Neurosurgery 2012

# V. Sensory Orientation

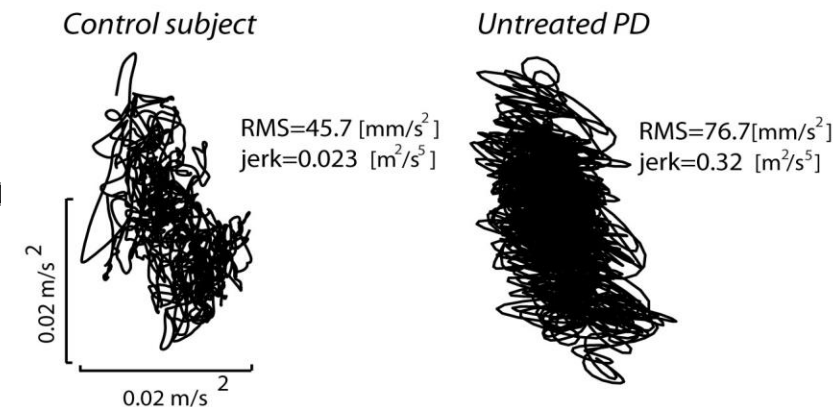


# Postural Sway can be measured with Accelerometers

## A. Center of pressure



## B. Acceleration at L5



Mancini et al, 2009

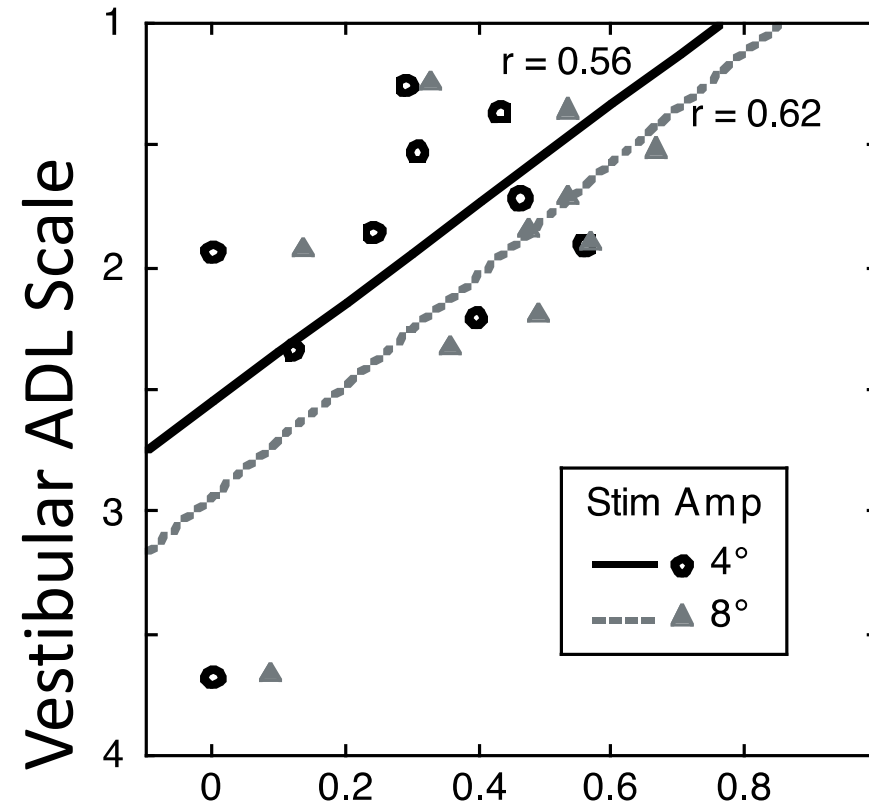
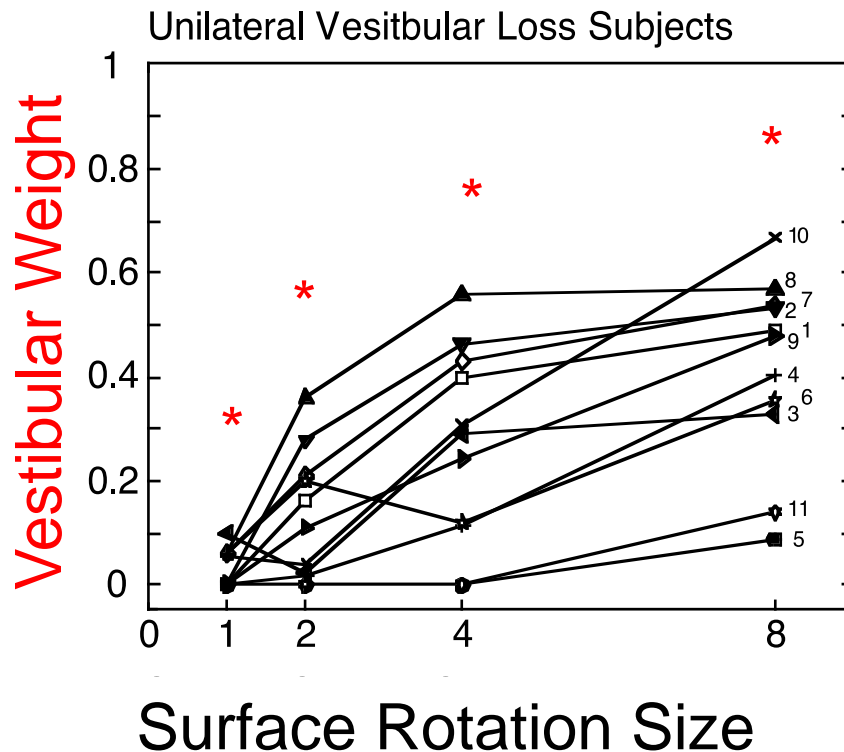
- **Sway area** (stability achieved by the postural control system)

- **Sway velocity** (amount of regulatory activity associated with this level of stability)

**Sway jerkiness** (derivative of acceleration, reflecting the amount of corrections)

Hufschmidt A et al., 1980  
Maki BE et al., 1991

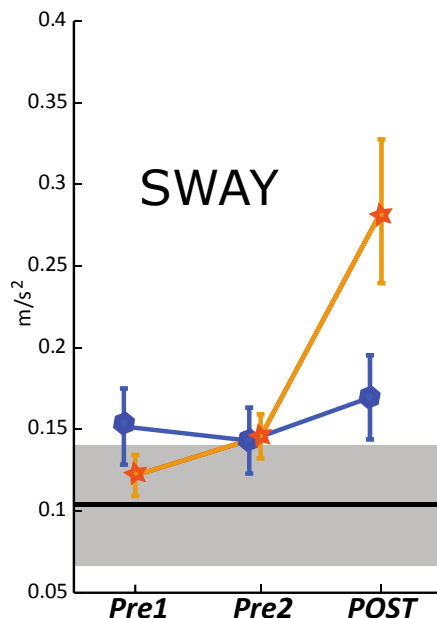
# Unilateral vestibular loss who weight remaining vestibular show best ADL



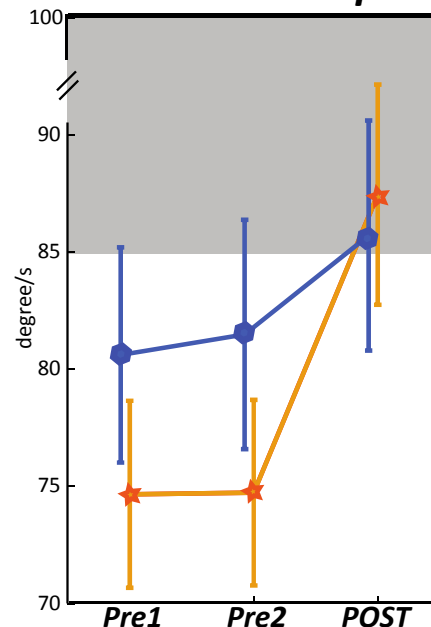
Peterka, Wrisley, Statler, Horak,  
Frontiers in Neurotology, 2011

# Instrumented postural sway may be more sensitive to rehabilitation than clinical measures

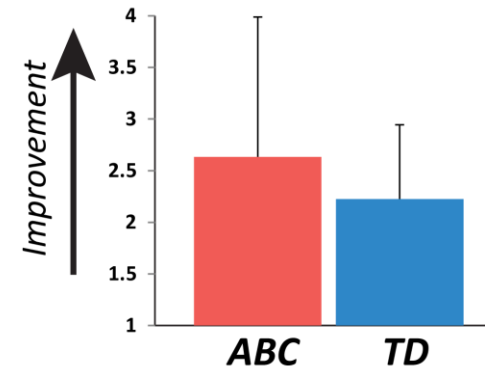
**Medio-lateral range**



**Sit-to-Stand Peak speed**



**Berg Balance**



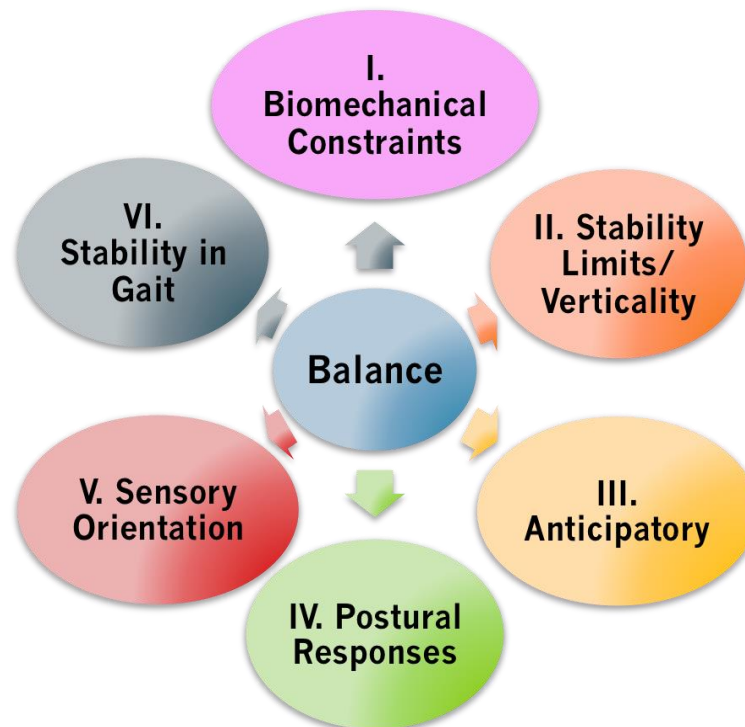
King, L.A. and Horak, F.B.  
Physical Therapy 2009

King, LA et al Parkinson's  
Disease, 2013

King, LA et al J of  
Neurological PD, 2012



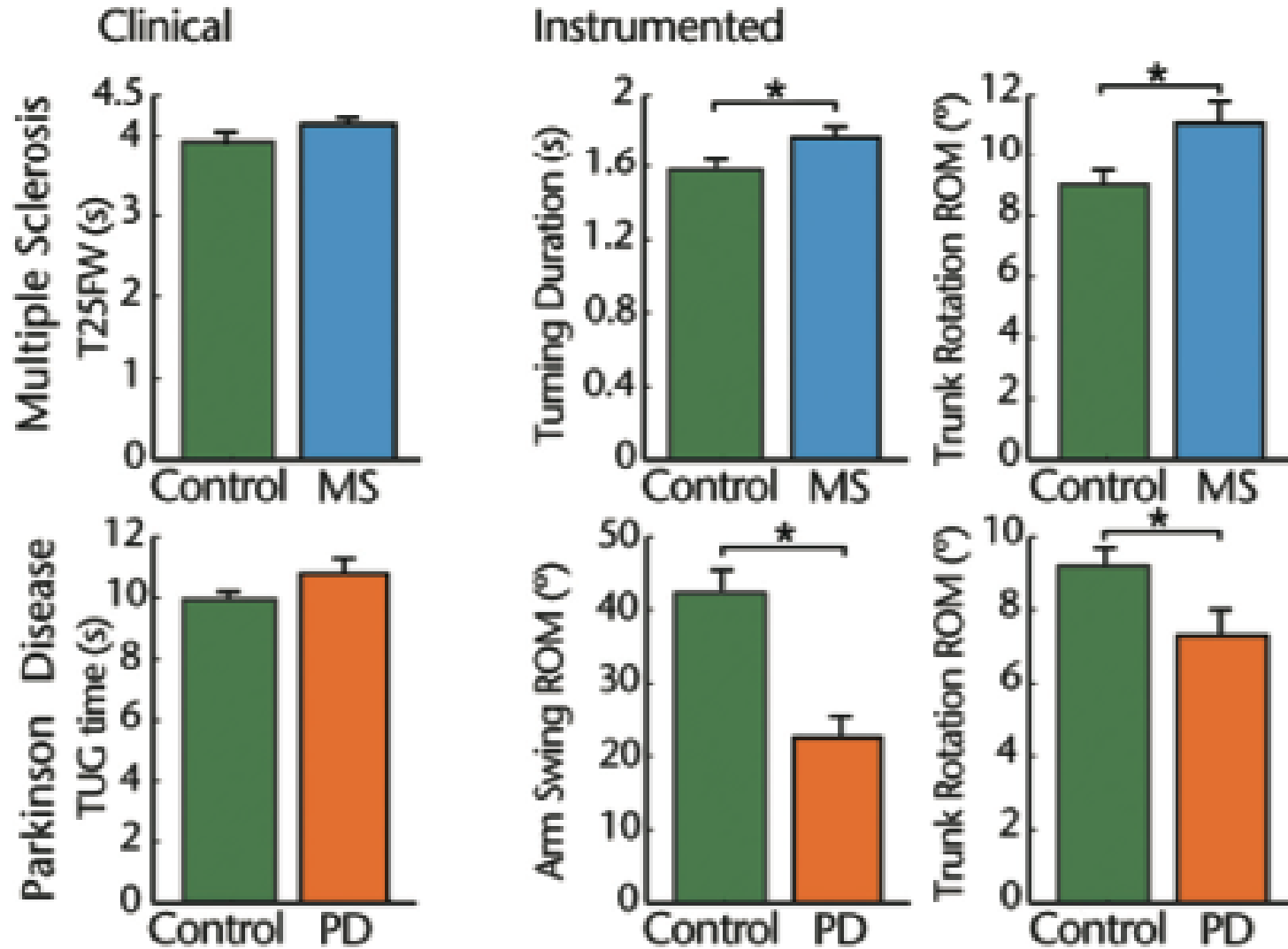
# VI. Stability in Gait





# Mobility impairments in patients with normal gait speed

## C. Clinical and Instrumented Tests



Objective measures are more sensitive than clinical tests to mild impairment

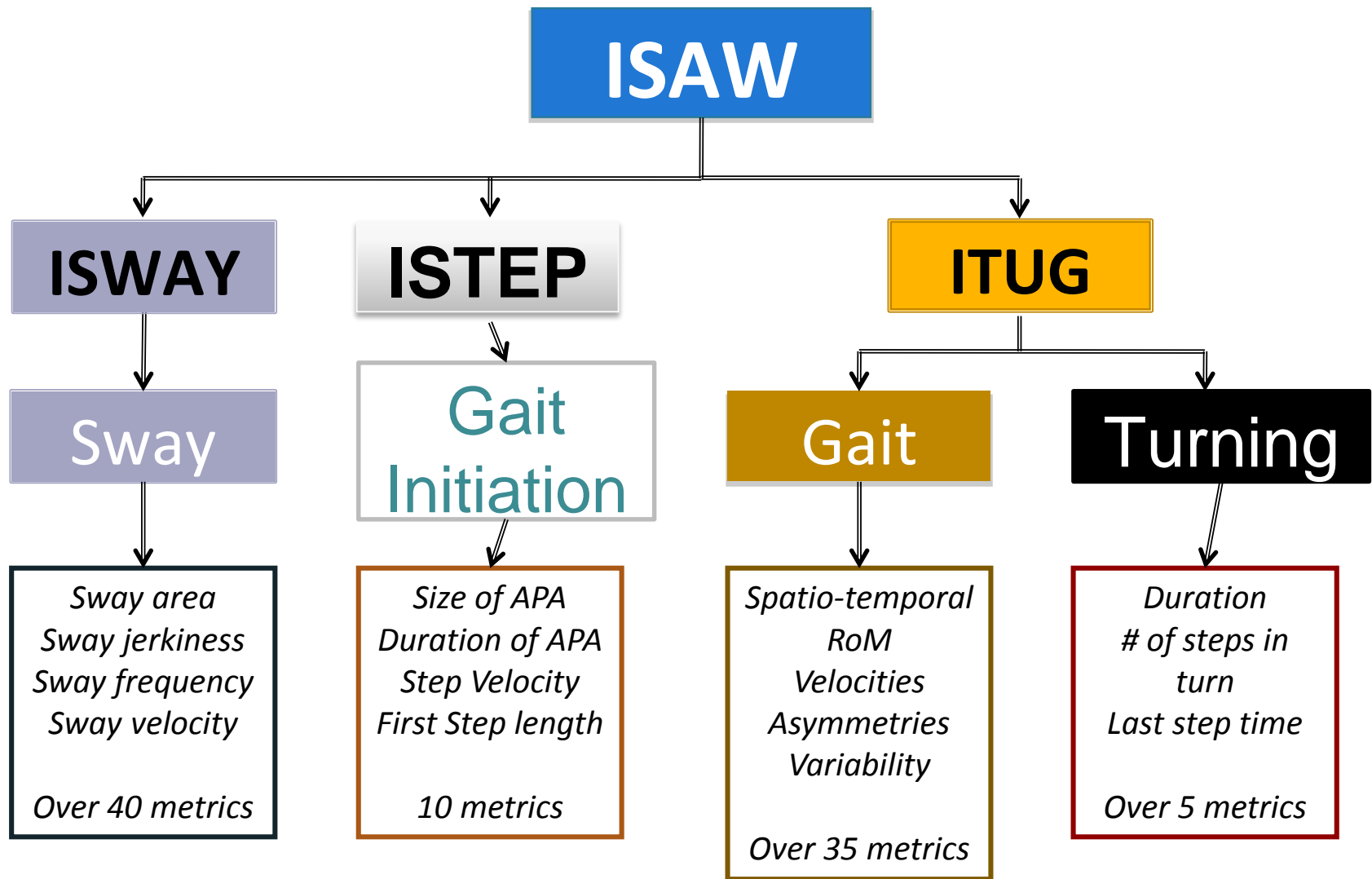
and more specific for disease

# Instrumented gait for FALL RISK-

Verghese J Geronotol A 2009

Gait Measure	Median	All Falls Risk Ratio/p-V	Injurious Falls Risk Ratio p-V
Speed	95 cm/s	1.-08 / .003	1.05 / .25
Swing Time Variability	5.17% (sd/mean x 100)	1.007 / <.001	1.11 < .001
Stride Length	1123.5 cm	1.095 / .003	1.0 / .67
Stride Length Variability	3.6% (SD/mean x 100)	1.09 / <.001	1.13 / <.001
Double Support	26%	1.2 <.001	1.03 / .79

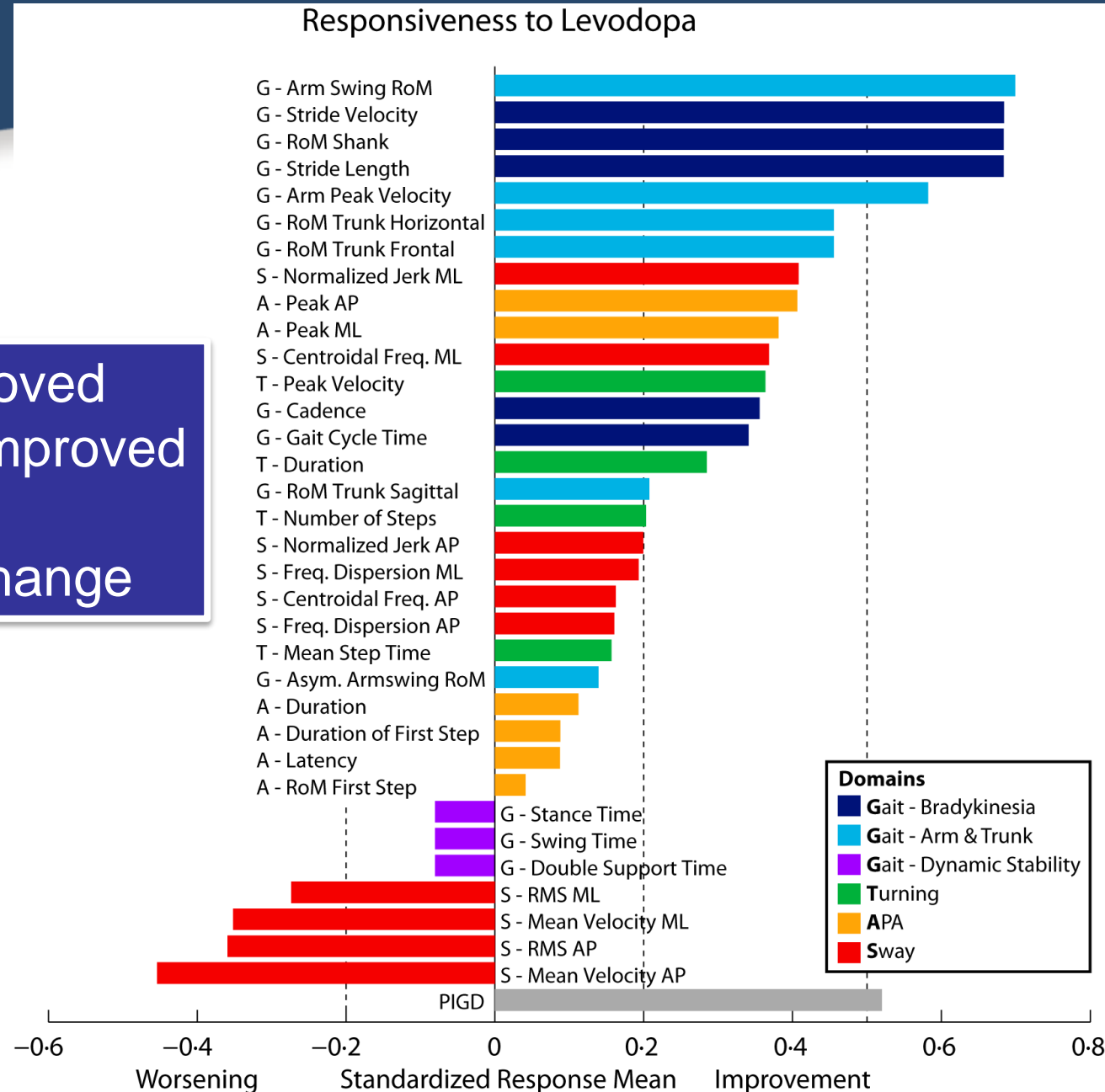
# 4 Balance Domains in a 1-minute test



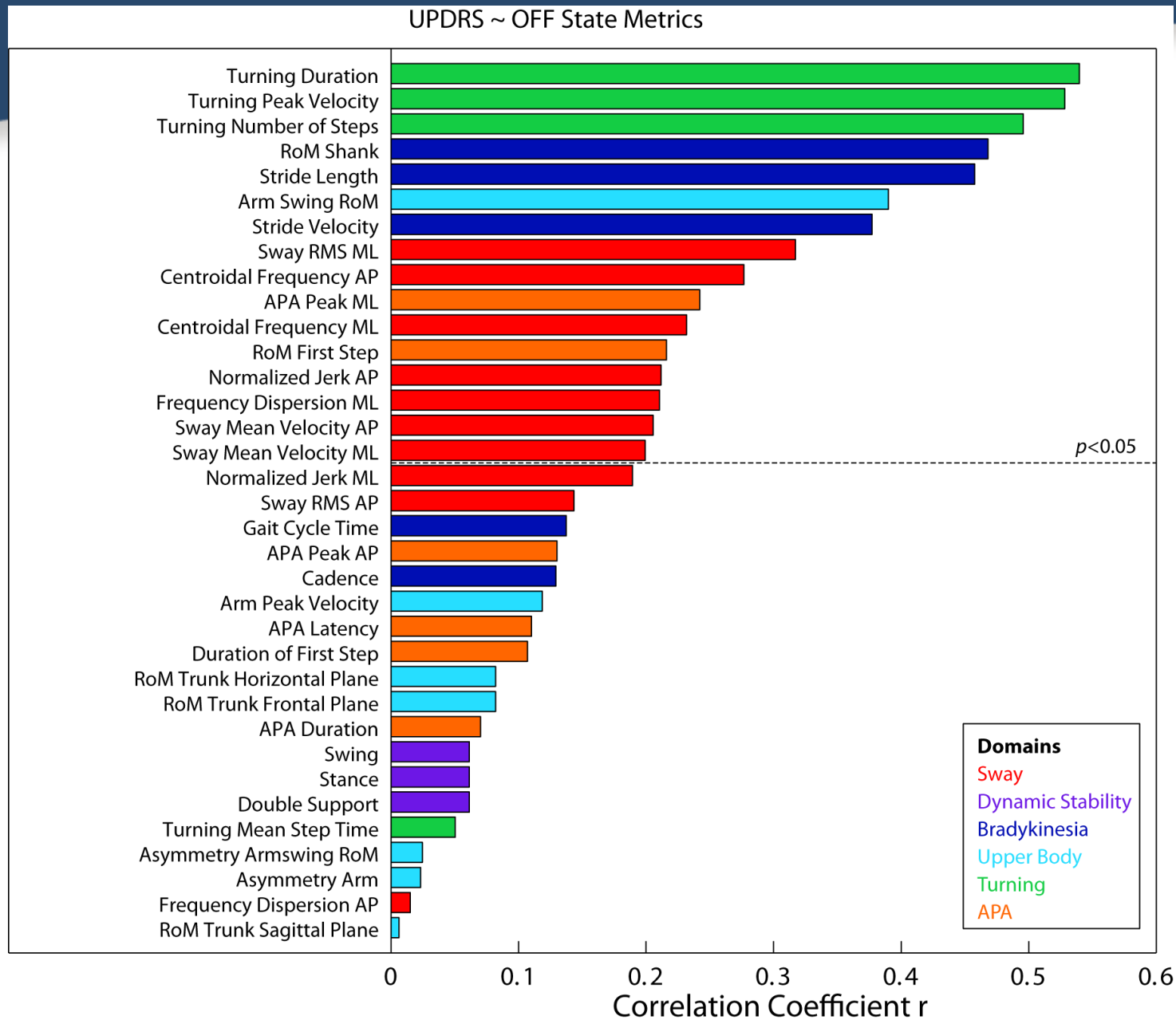
# Responsiveness of balance and gait (ISAW) to levodopa

- Gait speed improved
- Gait arm/trunk improved
- Balance worse
- Gait timing no change

Curtze, et al  
Movement Disorders  
In Press

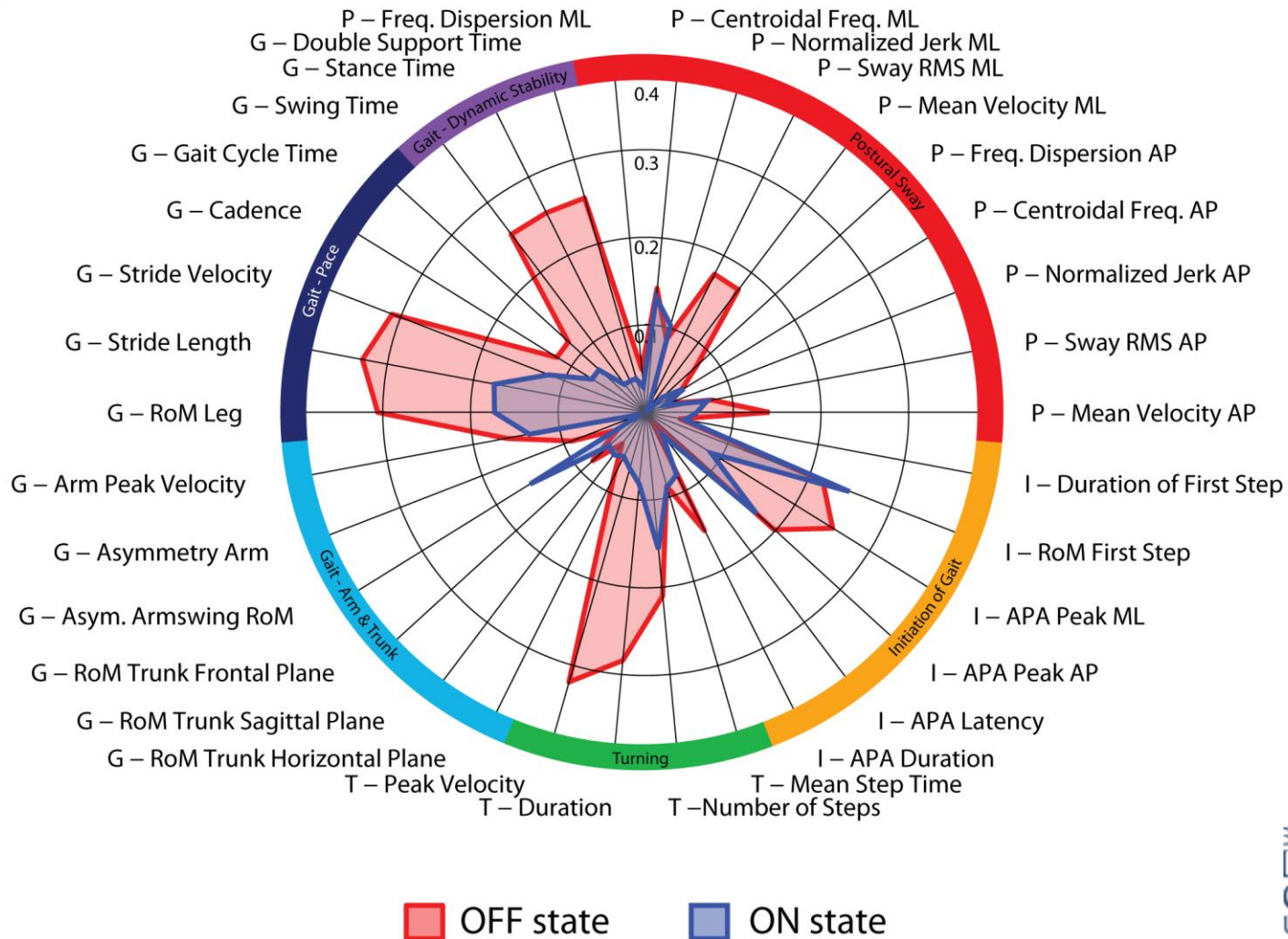


# Turning is most sensitive to severity of PD



# Turning, Speed and Stability related to Quality of Life

PDQ-39 mobility



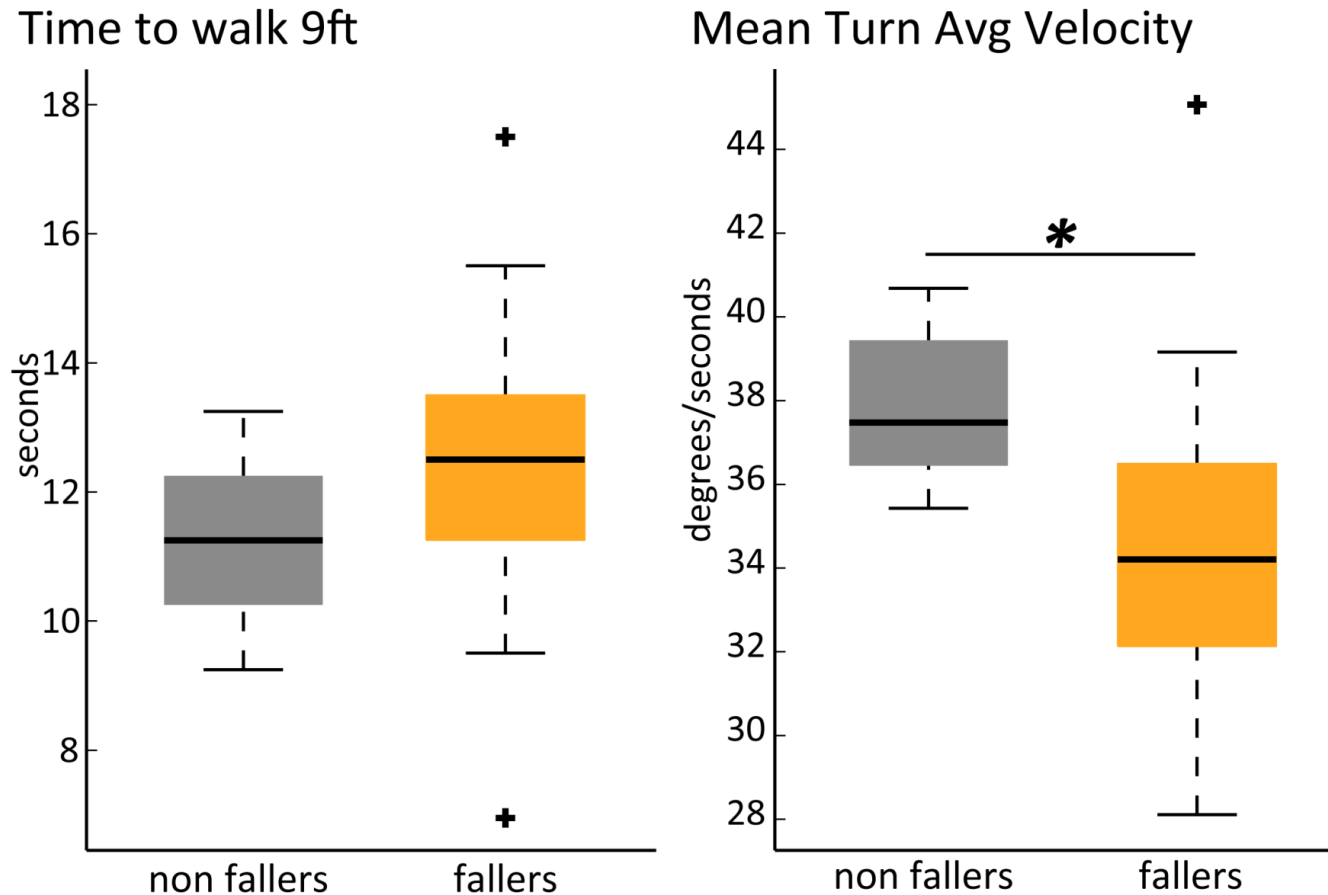
# Do you want to know about your patient's mobility at home?

- More realistic
- Natural environments
- More dual-tasks
- How sedentary or active
- Fluctuations/variability
- Better predictor of falls
- Need to come back  
for “tune-up”

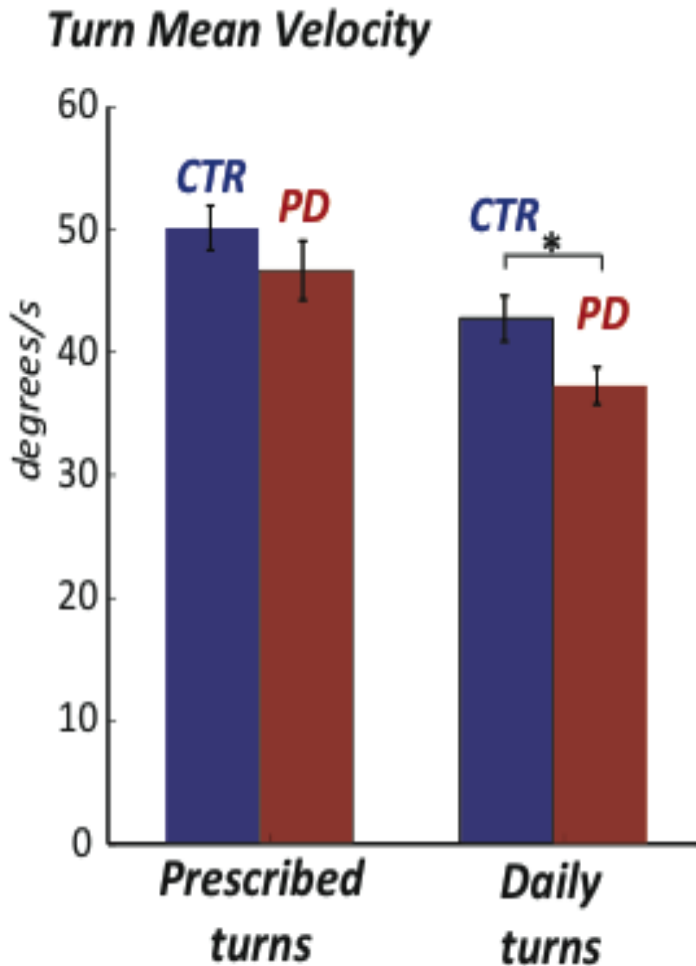


# **Characterizing Turning in the home with inertial sensors**

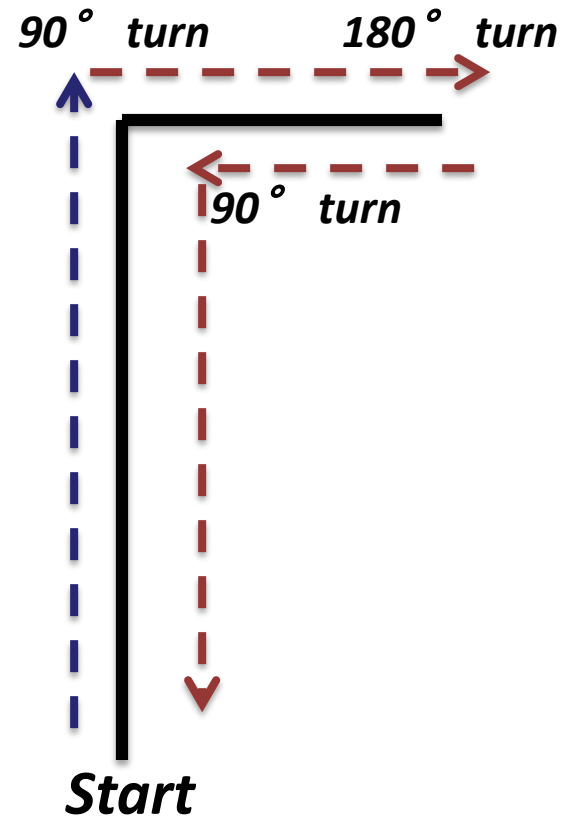
# Continuous monitoring of turning predicts future falls better than gait speed!



# Turn velocity differs in daily life but not in prescribed turns



## Prescribed Turning Test



Mancini et al  
Neurorehabilitation in press

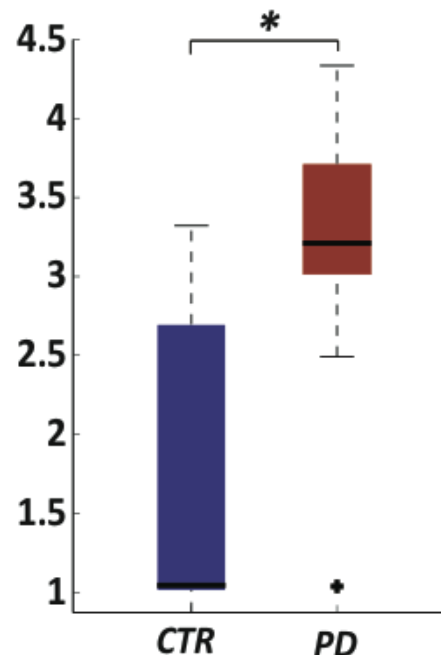
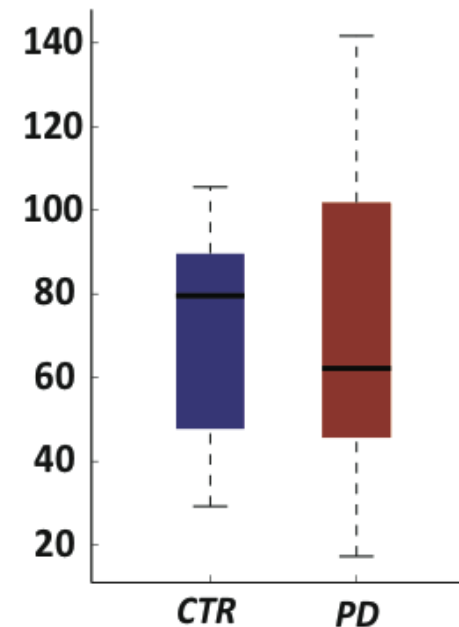
# *Quantity of activity is similar in PD and controls, but quality of turning is different*

## QUANTITY

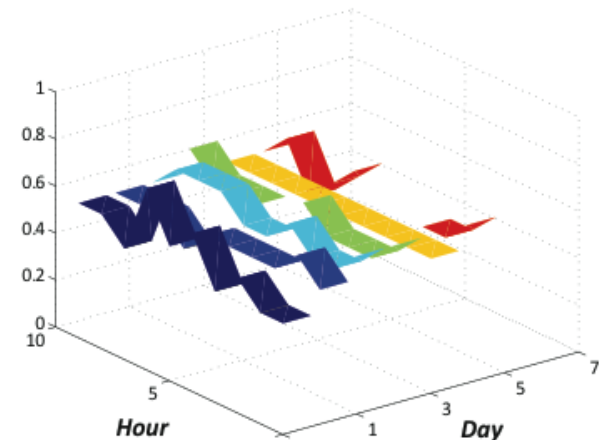
## QUALITY

Mean # of turns/h

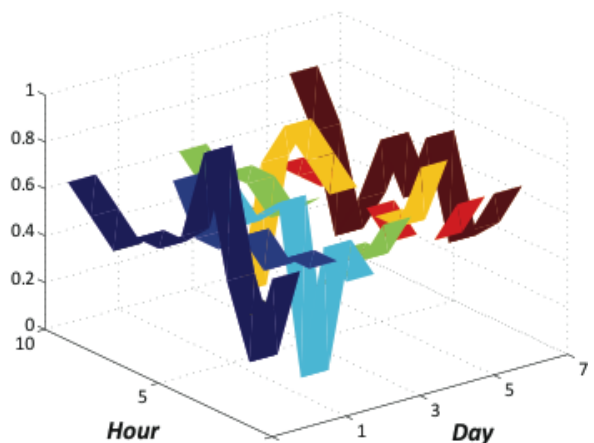
Mean # of steps/turn



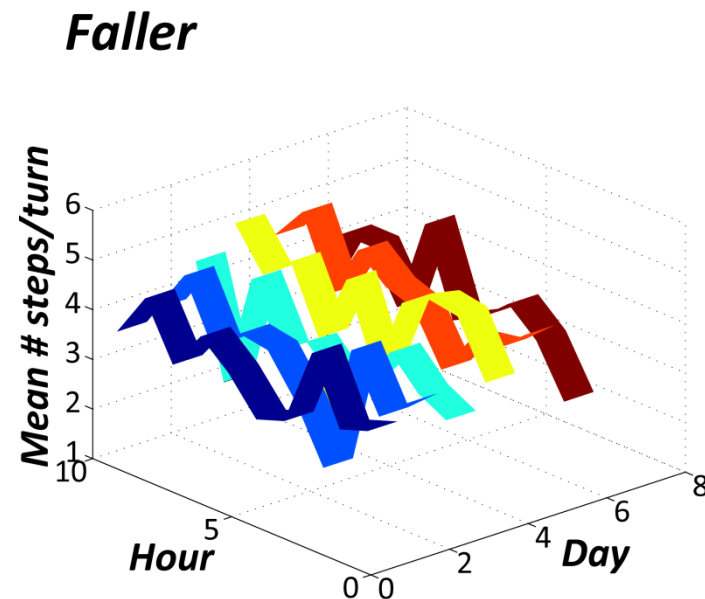
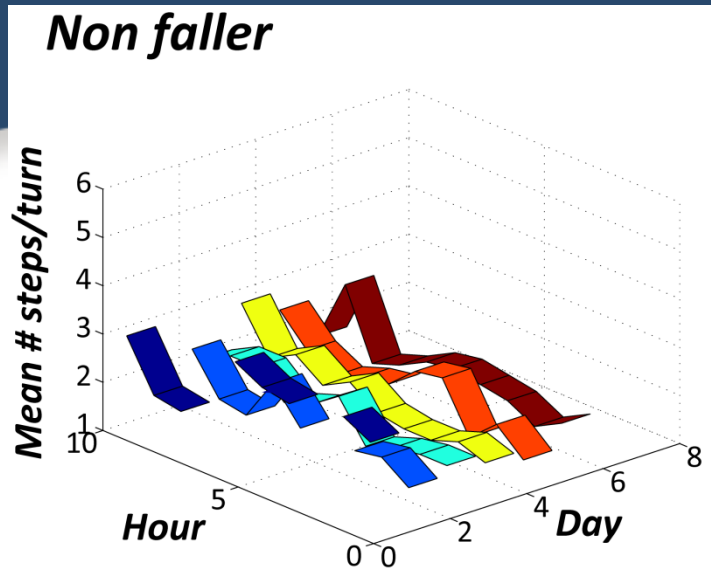
PD (UPDRS Motor=14)



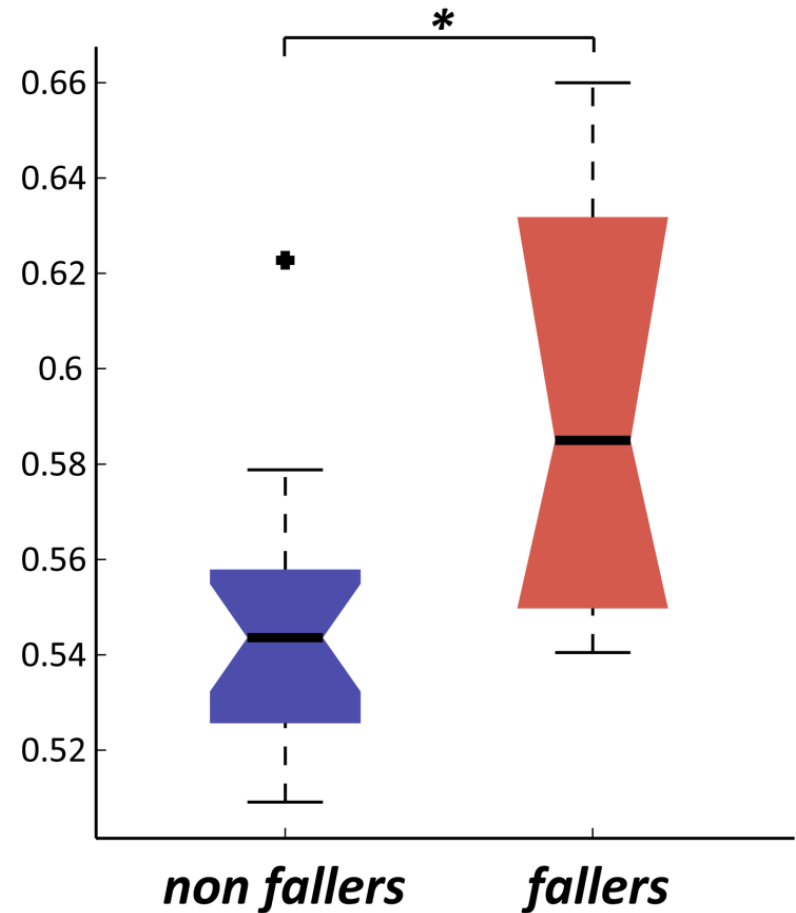
PD (UPDRS Motor=40)



# Variability of turning predicts prospective falls



**CoV of mean # of steps/turn**



Mancini et al J of Gerontology, Med Sci  
Submitted

# Does targeting balance training to specific ' impairments help?

Elizabeth Wang-Hsu, 2015  
Thesis Drexel University

- Base of support
- CoM Alignment
- Ankle strength and range
- Hip/trunk lateral strength
- Sit on floor and stand up

*Biomechanical Constraints (BC)*

*Stability in Gait*

**Balance**

*Sensory Orientation*

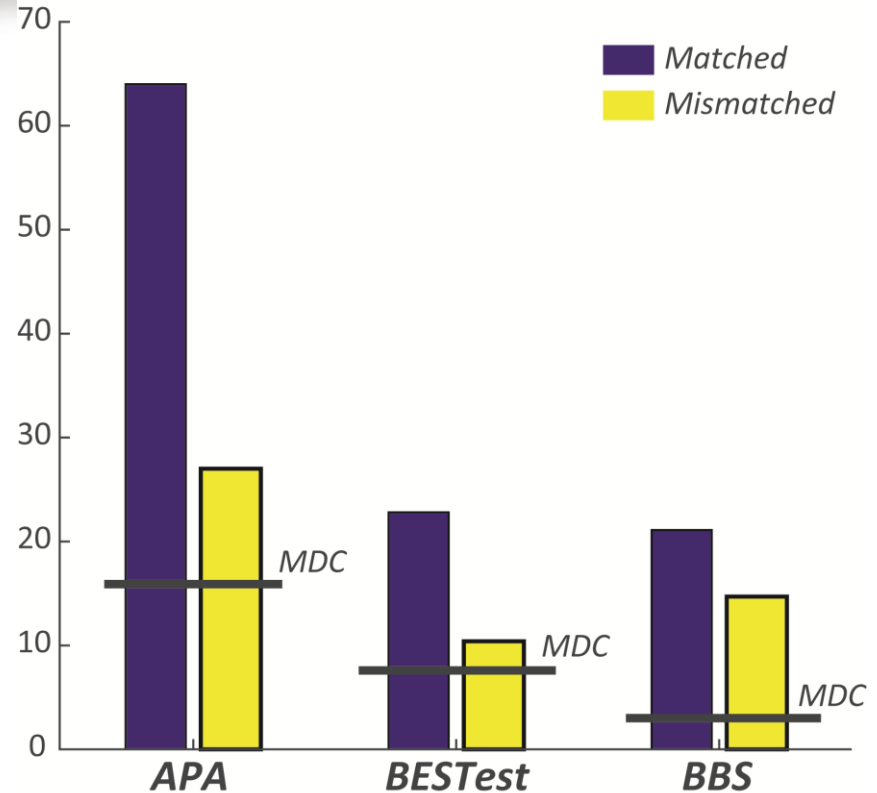
*Postural Responses*

*Stability Limits/ Verticality*

*Anticipatory Postural Adjustment (APA)*

- Sit to stand
- Rise to toes
- Stand on one leg
- Alternate stair touching
- Standing arm raise

% Change



# Can we target cognitive function to improve mobility?

✓ **Agility**

✓ **Agility-Cognitive**

✓ **Executive function**

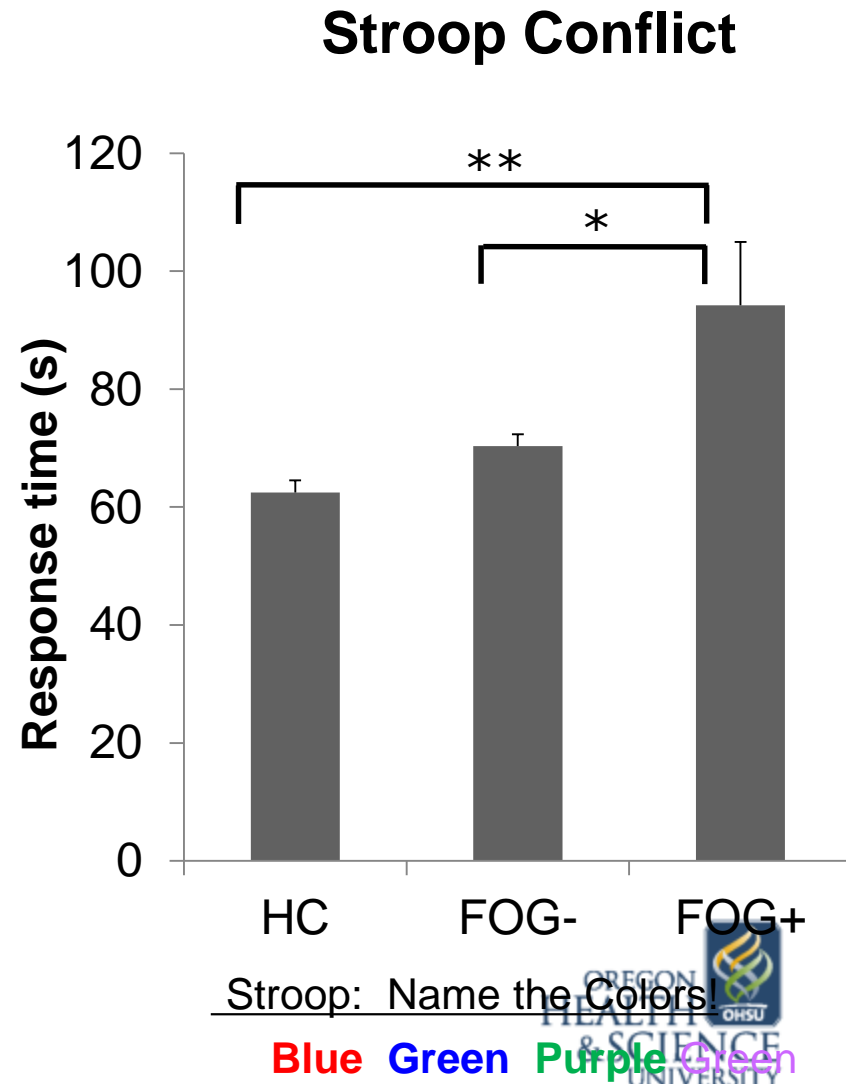
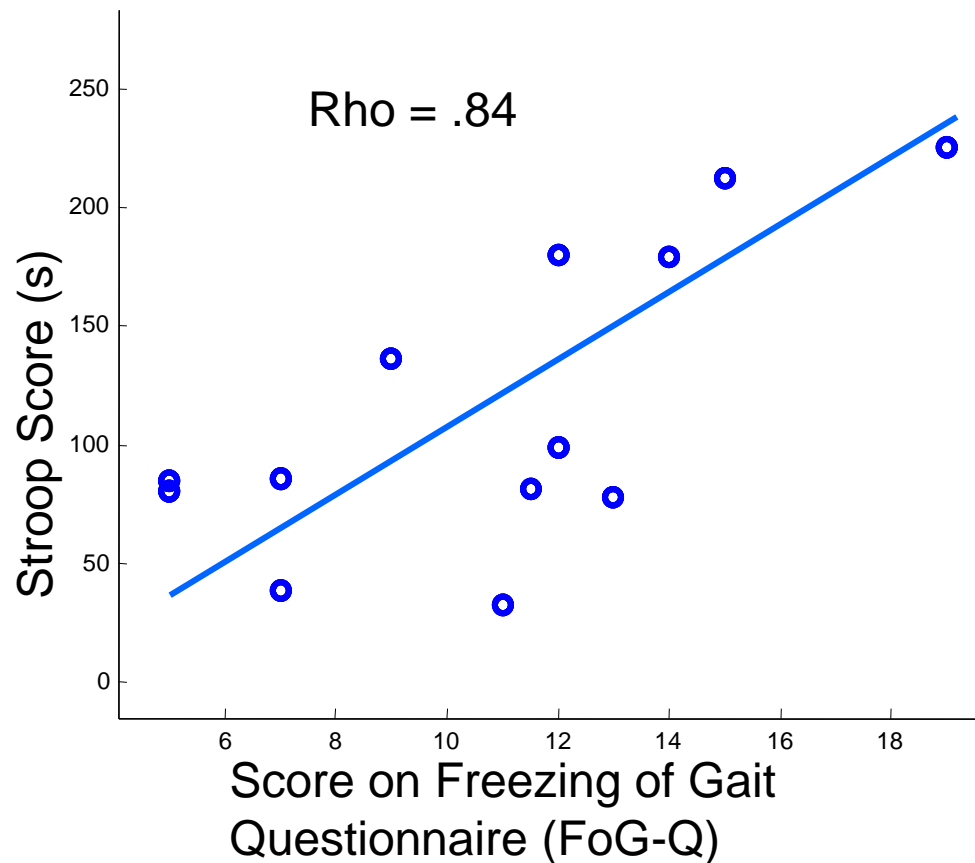
King and Horak  
Physical Therapy  
2011; 2013

Inhibition	Set-Shifting	Updating
<i>Stroop task</i>	<i>Plus-Minus task</i>	<i>Backward Digit Span task</i>
<i>Go-no go task</i>	<i>Berg Card Sorting task</i>	<i>Letter Memory task</i>
<i>Flankers task</i>	<i>Trail-Making task</i>	<i>Random Number Generation task</i>

Delaying Mobility Disability in People  
With Parkinson Disease Using a  
Sensorimotor Agility Exercise Program

# Executive Inhibition is related to FoG

Cohen, Nutt and Horak, 2013





# Impairment of cognitive inhibition could contribute to FoG

- In gait, lifting of the stepping leg must be delayed until the postural weight shift is complete.
- Failure to release inhibition of the stepping program and to inhibit the postural preparation may lead to FoG.
- **Is the response inhibition circuit impaired in FoG?**

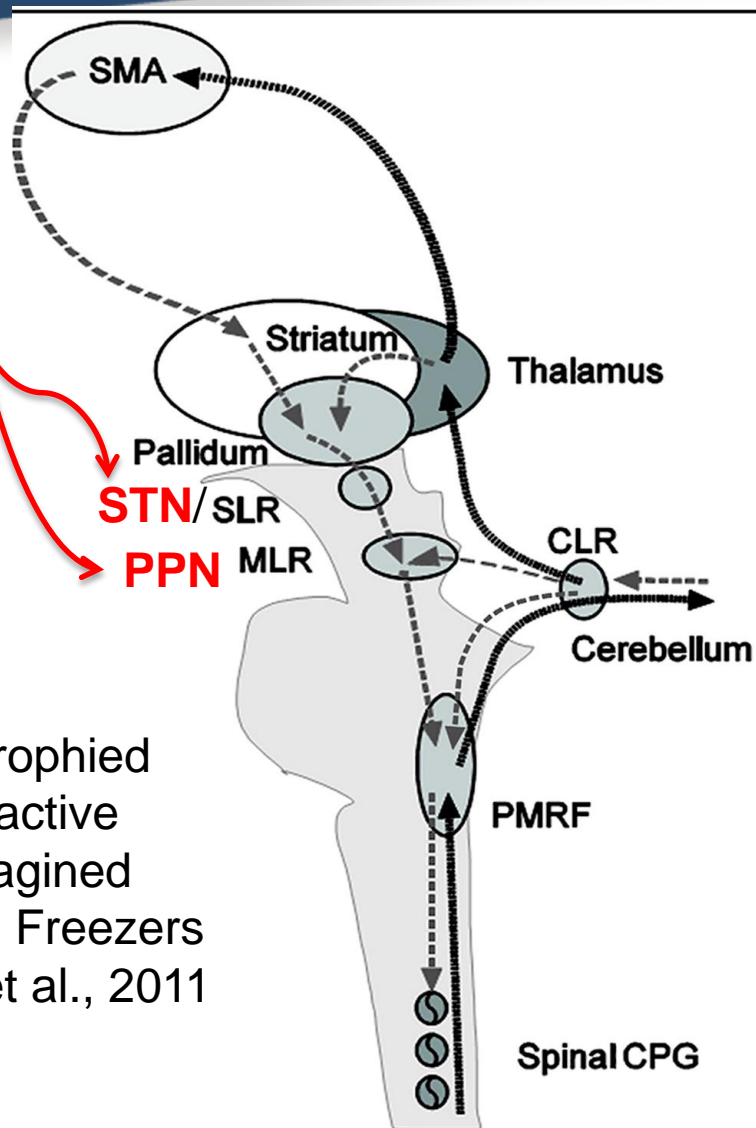
# Posture/Locomotor Network

## Hypothesis:

**FoG is due to abnormal connections between Medial-Frontal Cortex and Midbrain Locomotor Centers (PPN) and STN**

Prefrontal  
preSMA

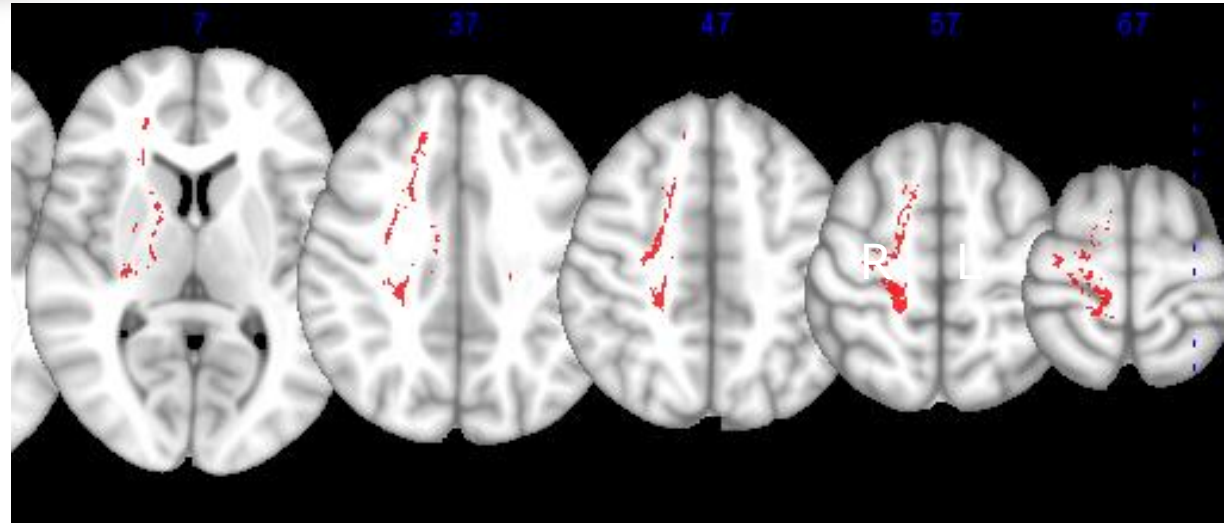
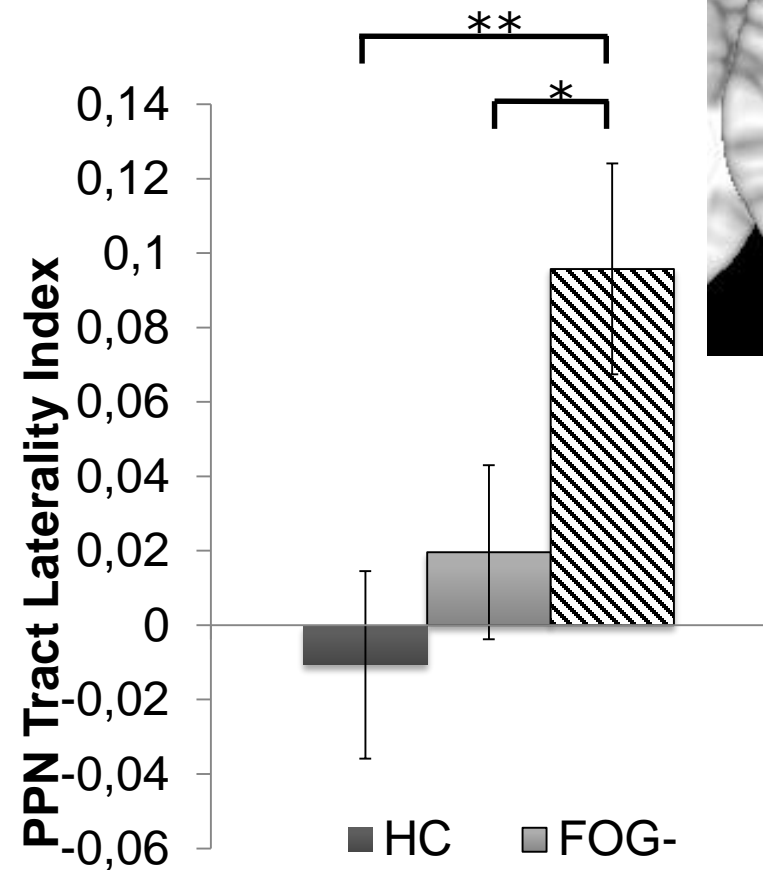
PPN is atrophied but more active during imagined walking in Freezers  
Snijders et al., 2011



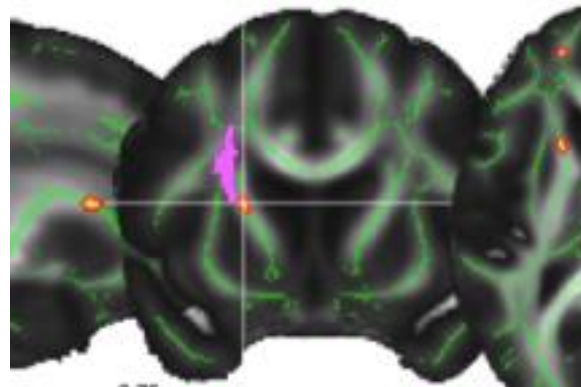
Fougere et al,  
NeuroImage  
50:1589, 2010

# Missing white matter of the Right Locomotor (Response Inhibition) Network in Freezers

Fling and Horak, *Brain* 2013

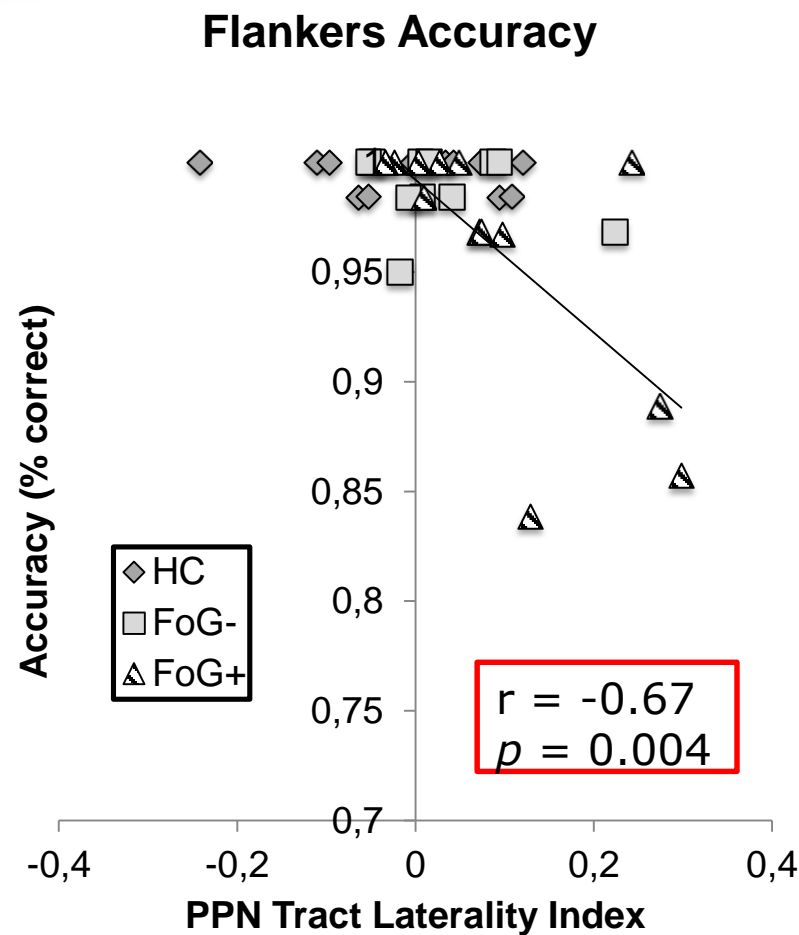
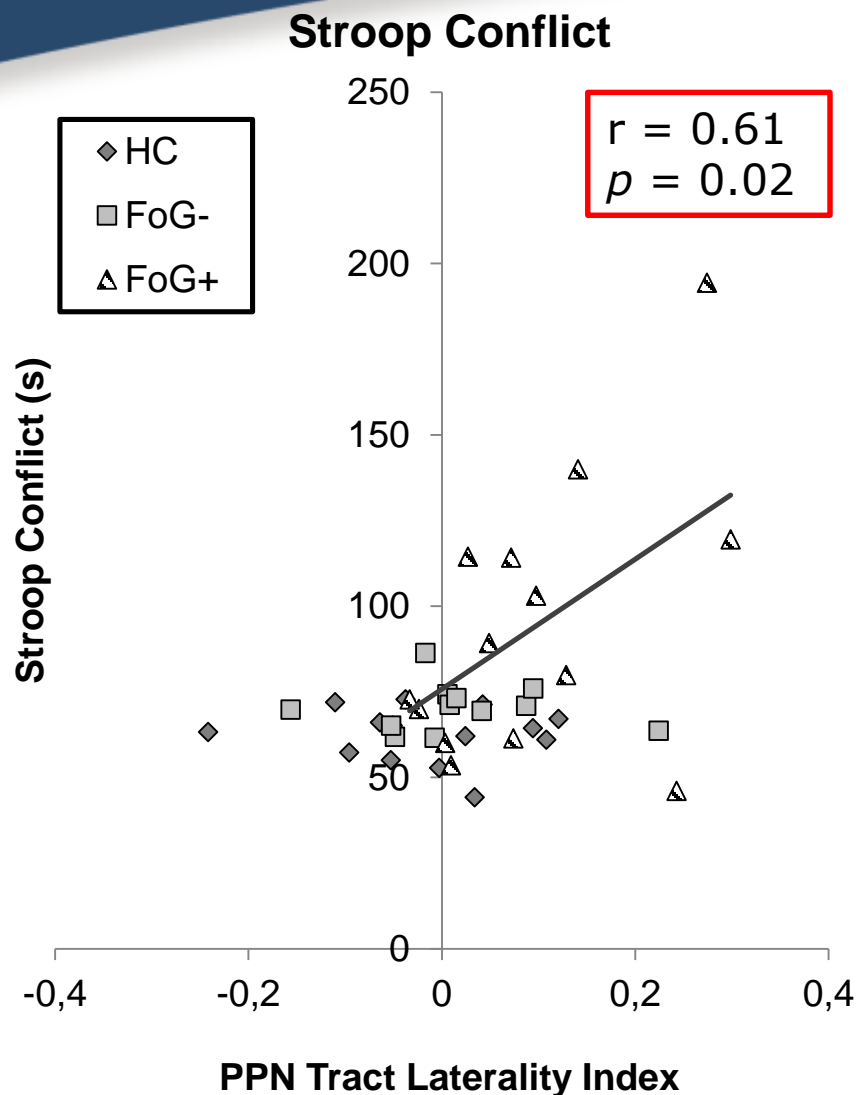


Response Inhibition Circuit:  
Right STN-PreSMA Healthy



Coxon, et al, J Neurosci 2012

# Asymmetry of white matter from PPN to medial frontal relates to Executive Inhibition in FoG+

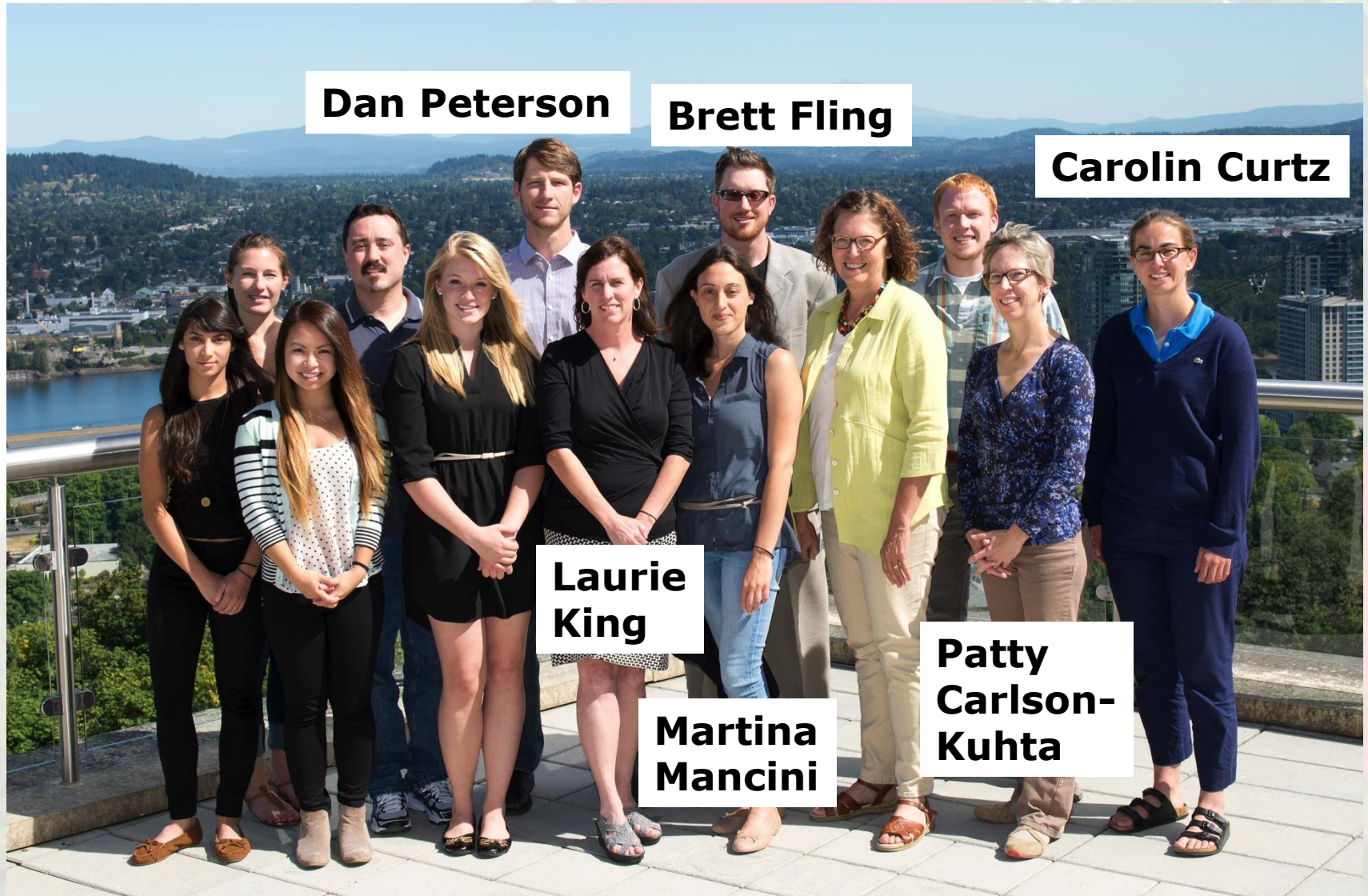


# CONCLUSIONS

- A systems approach to balance can identify specific impairments for rehabilitation to target
- Objective measures of impairments are more sensitive to mild impairments than clinical measures of function
- Continuous monitoring of mobility adds value
- Cognitive impairments contribute to mobility disability



# ***Balance Disorders Lab OHSU***



Grant support: NIA, NINDS, VA Merit, Kinetics Foundation, MRF, MS Society, OHSU



[www.posture.sk](http://www.posture.sk)

Smolenice Castle  
Slovakia



The 7<sup>th</sup> International Posture Symposium

Posture and Gait in Research, Clinic and Sport

6<sup>th</sup> - 9<sup>th</sup> September 2015